CMSE 2025

Capacitor Technology

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Modules

- Ceramic / MLCC
- Stacked
- Chip Film
- Wound Film
- **Thin Film**
- SuperCaps
- **Aluminum Electrolytic**

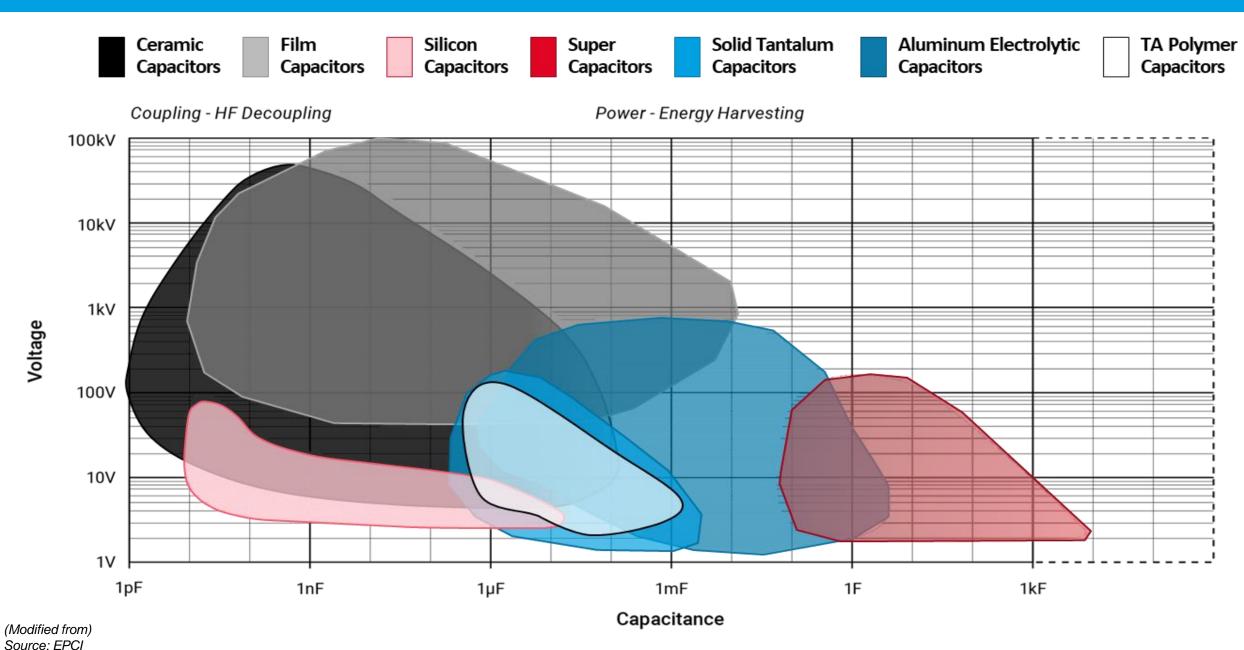
Goal: Understanding each capacitor technology

- 1. Construction
- 2. Performance characteristics are discussed relative to:
 - Time
 - Temperature
 - Voltage
 - Frequency
- 3. Reliability
- 4. Selection guide
- 5. Derating methods
- 6. Simulation links

Capacitors Comparison

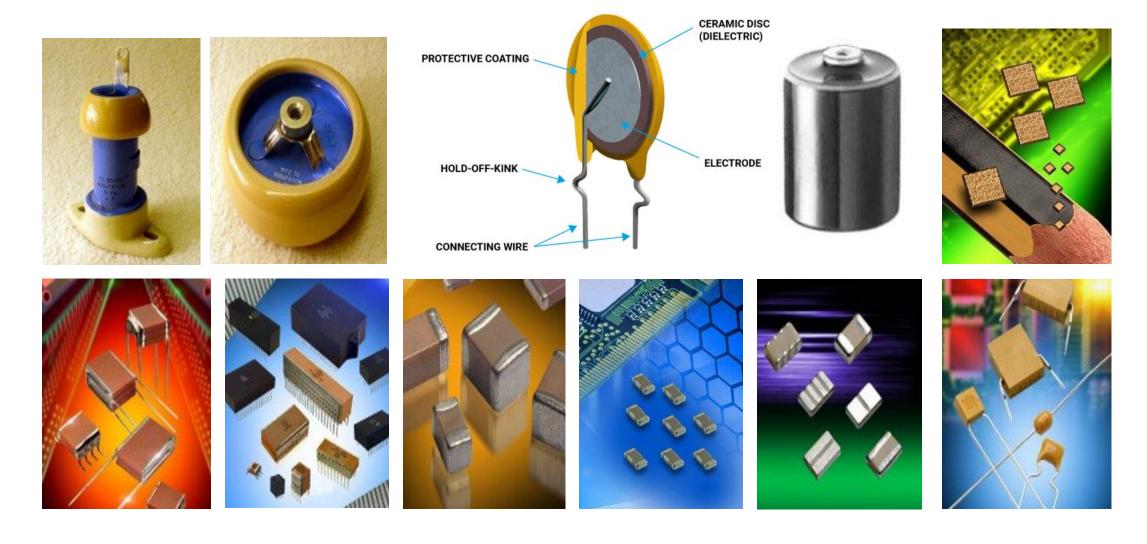
| | MLCC | MnO ₂ | Wet Tantalum | Polymer | OxiCap [®] | FILM |
|----------|---|---|---|--|--|---|
| Benefits | High Temperature Highest CV (Class II) Lowest ESR High Stability + Low Losses (Class I) No Derating Wide Voltage Range Non-Polar High Voltage >3kV Low Profile | Indefinite lifetime Highest CV/cc High Reliability -55°C to +230°C Stable Cap V/T Mechanically Robust Low Profile Self Healing DCL 0.001 CV to 0.1 CV No Noise | Very High CV High Vibration / Shock Self Healing Surge Resistant -55°C to +125/200/230°C Hermetic Casting DCL <0.0002 CV | Low ESR Benign Failure Mode Increased Current_{RMS} High Voltage (Up to 125V) High Energy J/cc Surge Resistant 10% or 20% Derating Low Profile High Reliability -55°C to +105/150°C Stable Cap V/T DCL <0.1 CV No Noise | Fail Safe Self-Healing Highest Reliability Indefinite Lifetime Surge Resistant 20% Derating -55°C to +125°C Stable Cap V/T DCL <0.02 CV to 0.1 CV No Noise | High Voltage Self Healing Very Low ESR High Current Cap Stability High IR -40°C to +90/105/125°C |
| Check | High Ripple DC Bias (Class II) Aging vs Capacitance Mechanically Fragile Piezoelectric Noise | <50V RatingsDerating Rules | Higher ESR Than SMDLeaded | Moisture Sensitive MSL 3-5Aging (Impact ESR / Cap) | - ≤ 10V Ratings | - Check Size |

Capacitors Today

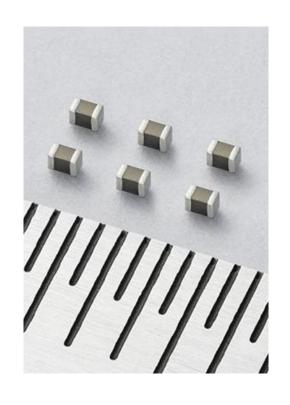


Ceramic Capacitor Types

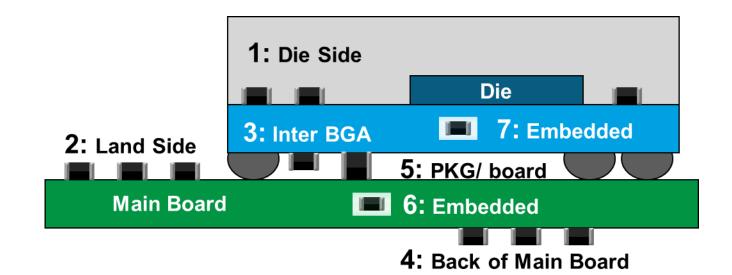
MLCCs are the most common capacitor type used



Multi-Layer Ceramic Capacitors - MLCC



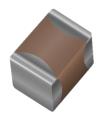
Common Low Voltage Digital Application

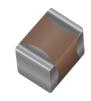


Passive component technology trend:

MINIATURIZATION

- IMPACT OF MINIATURIZED PASSIVES IS OF EXTREME IMPORTANCE
- 10 X 10 PART USE EXAMPLE IS SHOWN BELOW:













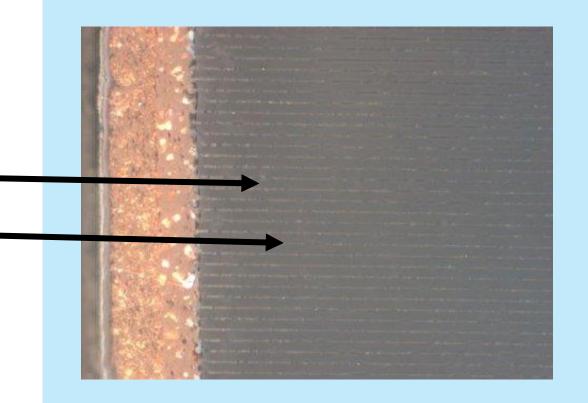


| Si | ze | Typical Chip Size (mm) | Mounting Area Ratio* | Weight (g/100pcs) | Weight Down Ratio |
|-----|-----------------|------------------------|-------------------------|----------------------|----------------------|
| 08 | 0805 2.0 x 1.25 | | 100.0% | 2.346 | 100.0% |
| 06 | 0603 1.6 x 0.8 | | 56.0% | 0.901 | 38.4% |
| 04 | 102 | 1.0 x 0.5 | 25.7% | 0.281 | 12.0% |
| 02 | 201 | 0.6 x 0.3 | 12.0% | 0.043 | 1.8% |
| 01 | 005 | 0.4 x 0.2 | 7.1% | 0.010 | 0.4% |
| 008 | 004 | 0.25 x 0.125 | 4.2% | 0.001 | 0.1% |

Ceramic Capacitors

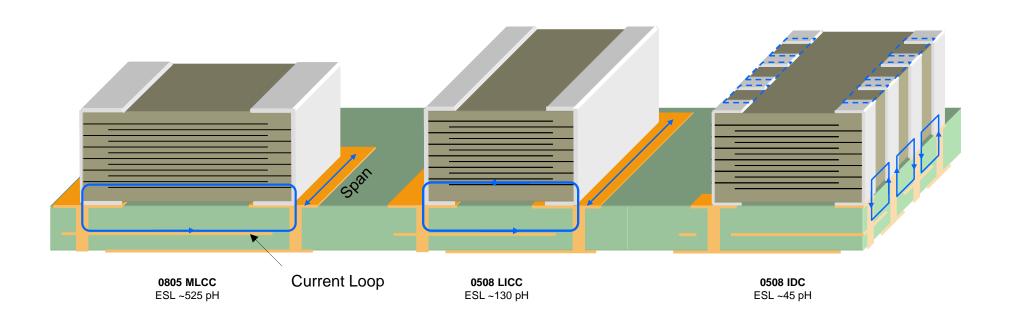
Electrostatic Capacitors

- Fixed Value
- Ceramic is the dielectric
- Metal is the electrode
- Single or multiple layer
- Various shapes/forms
- MOST COMMON is the MLCC
 - Multi-Layer Ceramic Capacitor

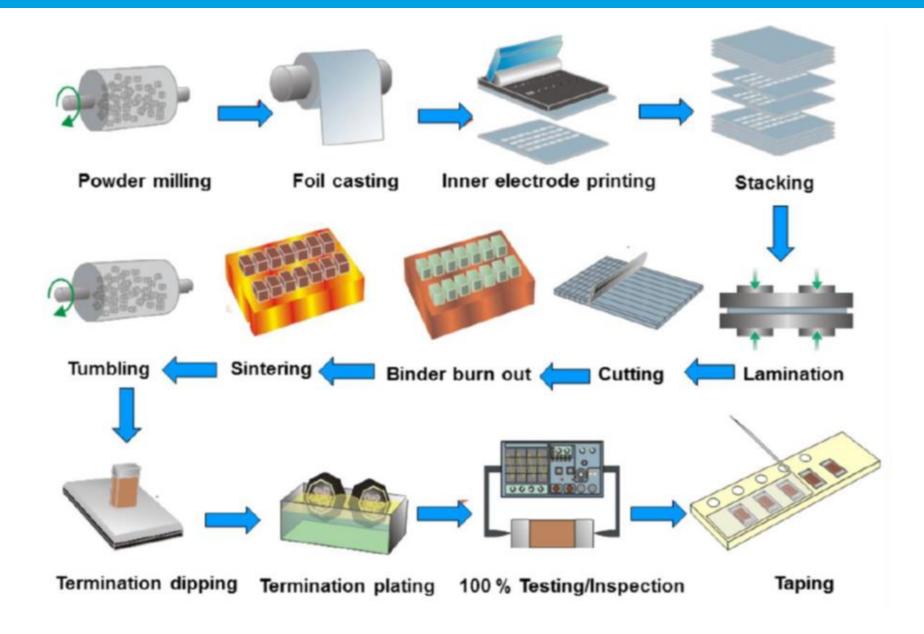


MLCC Design Considerations – hot new concern

Inductance



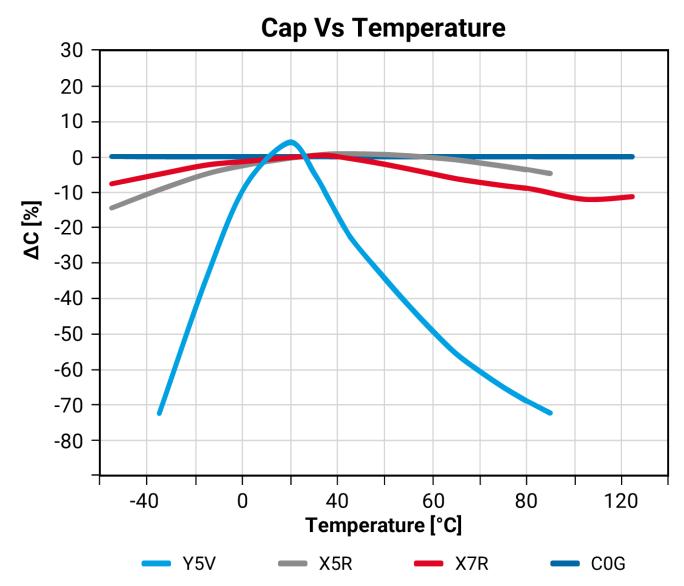
MLCC Dry Process



MLCC Performance

Mostly Controlled by the Dielectric

| CLASS | ТҮРЕ | TEMPERATURE RANGE | VARIATIOIN DUE TO TEMPERATURE |
|-----------|------|----------------------|--------------------------------------|
| Class I | C0G | -55°C to +125°C | ±15 ppm/°C |
| Class I | U2J | -55°C to +125°C | -750 ppm/°C |
| | X8R | -55°C to +150°C | ± 15% |
| | X8L | -55°C to +150°C | ± 15%, Then -40% (125°C to 150°C) |
| Class II | X7R | -55°C to +125°C | ± 15% |
| | X7S | -55°C to +125°C | ± 22% |
| | X6S | -55°C to +105°C | ± 22% |
| | X5R | -55°C to +85°C | ± 15% |
| Class III | Y5V | -30°C to +85°C | +22% / -82% |
| Class III | Z5U | +10°C to +85°C | +22% / -82% |



MLCC Performance

Mostly controlled by the dielectric

Dielectric Stability Code Explanation

First Character

| LETTER | LOW TEMP |
|--------|-------------|
| X | -55C (-67F) |
| Υ | -30C (-22F) |
| Z | +10C (+50F) |

Second Character

| DIGIT | HIGH TEMP | |
|-------|---------------|--|
| 2 | +45C (+113F) | |
| 4 | +65C (+149F) | |
| 5 | +85C (+185F) | |
| 6 | +105C (+221F) | |
| 7 | +125C (+257F) | |

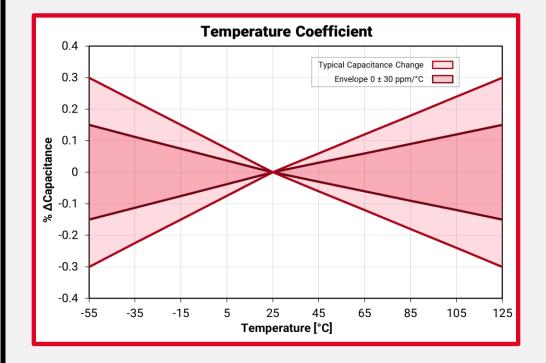
Third Character

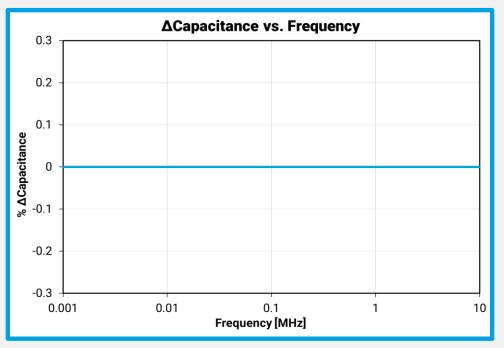
| LETTER | CHANGE | | |
|--------|-------------|--|--|
| D | +/-3.3% | | |
| Е | +/-4.7% | | |
| F | +/-7.5% | | |
| Р | +/-10% | | |
| R | +/-15% | | |
| S | +/-22% | | |
| Т | +22% / -33% | | |
| U | +22% / -56% | | |
| V | +22% / -82% | | |

CLASS I TYPE

Ceramic Capacitors

- Very Accurate Values.
- Formulations Exist that can Provide Temperature-Compensation.
- Near Zero Capacitance Variation with Applied Voltage, Temperature Variation & Time.
- Exhibit Lowest Losses Ideal in Resonant Circuit
 Applications or Precisely Defined Applications Timing,
 Sample & Hold, Tuning.



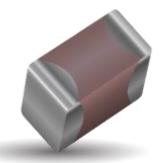


Class 2 Type

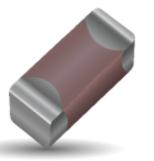
Ceramic Capacitors

- These have a dielectric with a high permittivity and therefore a better volumetric efficiency than class 1 capacitors, but lower accuracy and stability.
- The ceramic dielectric is characterized by a nonlinear change of capacitance over the temperature range, varies with applied voltage and has an aging effect (reduces with time).
- The most widely used classification used in designs.

- Class 2 capacitors are made of ferrolectric materials such as barium titanate (BaTiO) and suitable additives
- They are suitable for bypass, coupling and decoupling applications or for frequency discriminating circuits where low losses and high stability of capacitance are less important. Also applications that require the capacitor to maintain only a minimum value of capacitance, for example, buffering and filtering in power supplies and coupling and decoupling of electric signals.





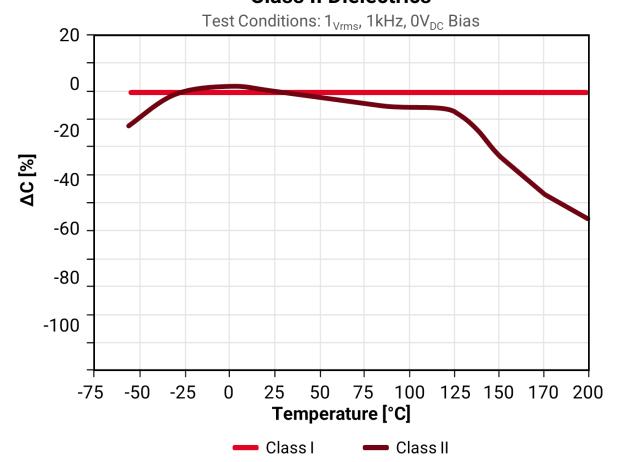


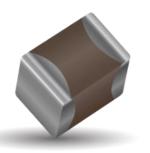


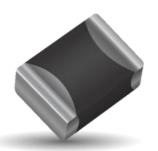
Class 2 Type

Ceramic Capacitors

Typical TCC Characterization of Class I and Class II Dielectrics







Code System Regarding to EIA RS-198 for Some Temperature Ranges and Inherent Change of Capacitance

| Letter Code Low Temperature | Letter High Ten | Code nperature | Letter Code ΔCapacitance Over Temperature Range |
|--------------------------------|---------------------|-------------------|---|
| X = -55°C (-67°F) | 4 = +65°C | C (+149°F) | P = ±10% |
| Y = -30°C (-22°F) | 5 = +85°C | C (+185°F) | R = ±15% |
| Z = +10°C (+50°F) | 6 = +105°C (+221°F) | | S = ±22% |
| | 7 = +125°C (+257°F) | | T = -22% / -33% |
| | 8 = +150°(| C (+302°F) | U +22% / -56% |
| | 9 = +200° | (+392°F) | = +22% / -82% |
| | | | |

Example:

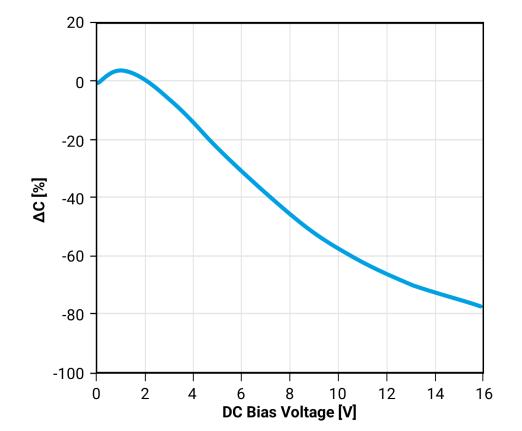
X7R = -55 °C, +125 °C, ± 15% X8R = -55 °C, +150 °C, ± 15%

MLCC Stability

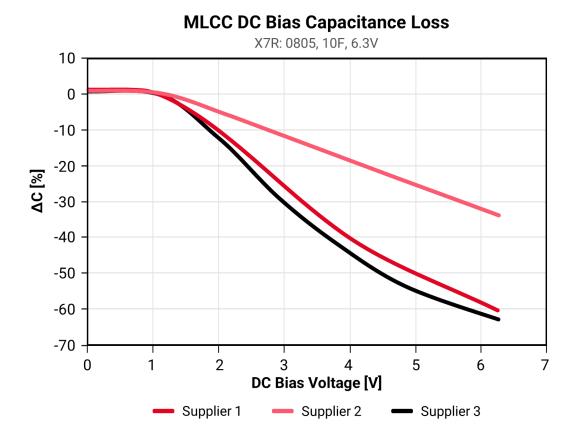
DC Bias Examples

In addition to Capacitance Changing in accordance to temperature, Capacitance Changes with Applied DC voltage, AC voltage and time

DC Bias Varies by Dielectric Lot



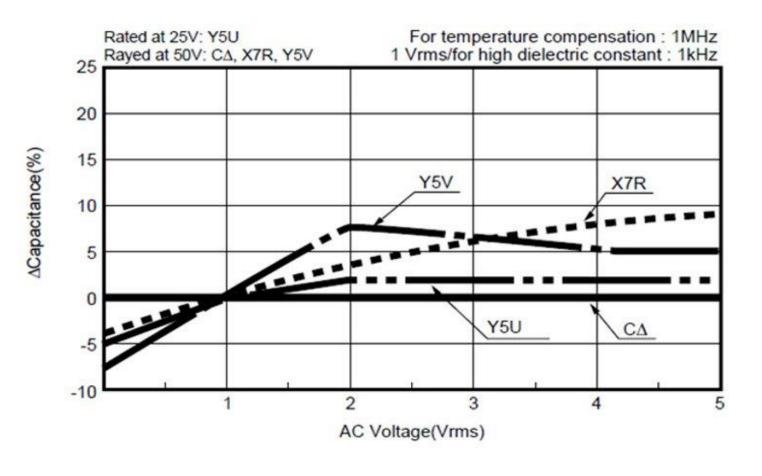
DC Bias Varies by Manufacturer



MLCC Stability

AC Bias Example

In addition to capacitance changing in accordance to temperature – capacitance changes with applied DC voltage, AC voltage and time.

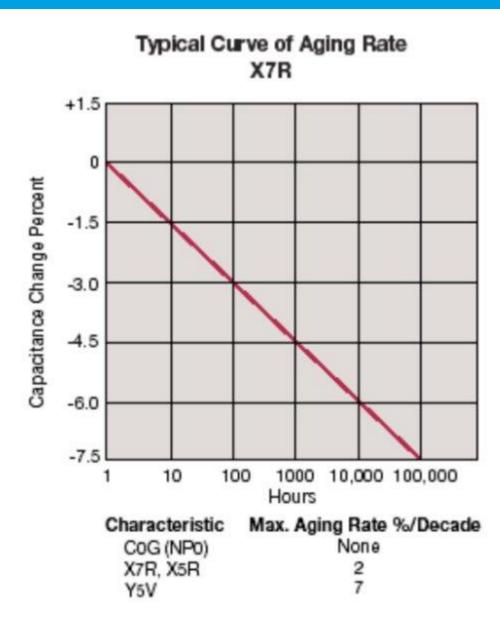


Source: EPCI

MLCC Stability

Aging Example

In addition to capacitance changing in accordance to temperature – capacitance changes with applied DC voltage, AC voltage and time.



MLCC Stability Recap

If you select a **X5R 0805 10uF 6.3V** capacitor as 5V coupling capacitor in operating amplifier the capacitor may exhibit (depending to manufacturer):

- 60% drop of capacitance due to DC voltage
 5V close to 6.3V maximum rated voltage (as per typical data provided by manufacturer)
- 15% drop of capacitance due to AC voltage being 10mV (as per typical data provided by manufacturer)
- 10% drop of capacitance due to operating temperature (as per specification sheet)
- 5% drop of capacitance each time decade (as per specification sheet)

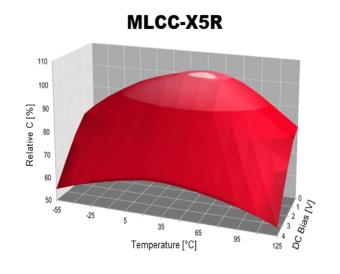
The total capacitance value at actual condition is then defined as multiplications of the capacitance drop factors.

$$C_{\text{actual}} = C_{\text{rated}} * F_{\text{DCV drop}} * F_{\text{ACV drop}} * F_{\text{temp drop}} * F_{\text{ageing drop}}$$

(In example above)

$$C_{actual} = 10uF * 0.4 * 0.85 * 0.9 * 0.95 = 2.9uF$$

The actual capacitance value of the 10uF 6.3V MLCC X5R capacitor at the operating condition above is expected to be 2.9 uF.



Excerpt from EPCI

MLCC Reliability Example

(General example – a snapshot in time)

| | | | | AT RATED V & TEMPER | | AT T | YPICAL USAGE (0.5 x RVDC { | | |
|---------------------|----------------|------------------|-----------------|----------------------------|----------------------|-----------------------------|-------------------------------|------------------------------|--------|
| DIELECTRIC GROUP | LOTS TESTED | PIECES TESTED | DEVICE HOURS | EQUIVALENT DEVICE HOURS | FAILURE RATE (1/) | EQUIVALENT D EVICE HOURS | FAILURE RATE (1/) | FAILURE RAT E FITS - (2/) | MTBF |
| NPO/COG | 366 | 43390 | 2.81 x | 2.25 x | 0.010 | 1.80 x | 1.28 x | 0.013 | 7.80 x |
| X7R/X7S | 3589 | 453849 | 2.37 x | 1.90 x | 0.068 | 1.52 x | 8.50 x | 0.085 | 1.18 x |
| X5R | 12 | 1305 | 3.48 x | 2.78 x | 0.083 | 1.25 x | 1.84 x | 1.839 | 5.44 x |

Notes: 1/ Failure Rates are calculated in Percent Per 1000 Hours at 90% Confidence Level

2/1 Fit+1Failure in 109 Hours (PPM Per 1000 Hours) at 90% Confidence Level

This report contains a summary of FIT rates and MTBF for MLCC Ceramic Capacitors by dielectric formula. Due to the number of device hours required to calculate failure rates, all part numbers are combined by dielectric.

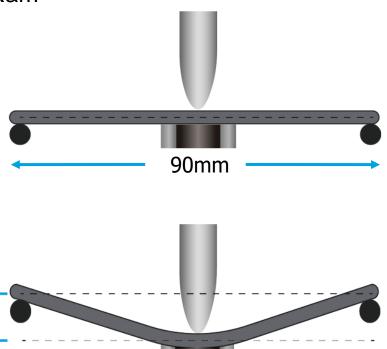
What can make a MLCC Fail? Stress

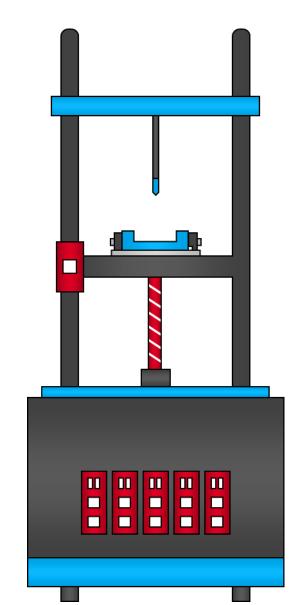
Board Bend Flex Test Procedure

Test Procedure as per AEC-Q200:

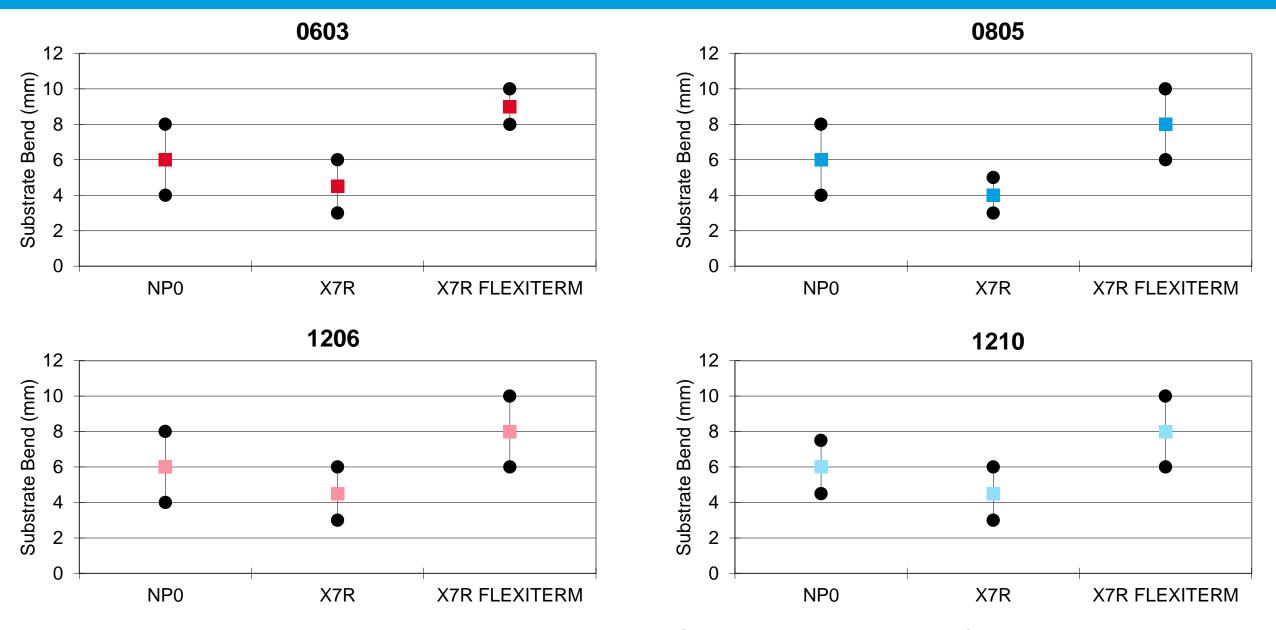
Minimum Deflection sped: 2mm (Class 2)

- Components soldered to FR4 PCB Board
- Connected to the test equipment
- Motorized Moving Ram





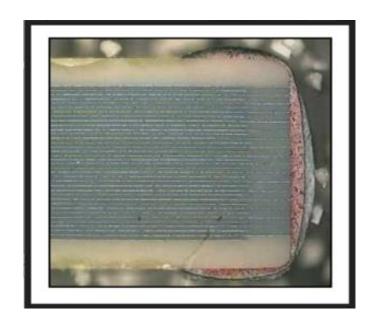
MLCC Flexure Sensitivity

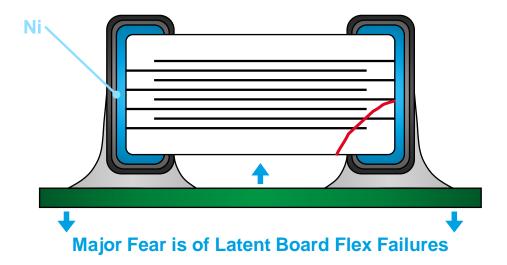


Board Flex is Directly Proportional to Strain Measurements on PCB

Mechanical Performance

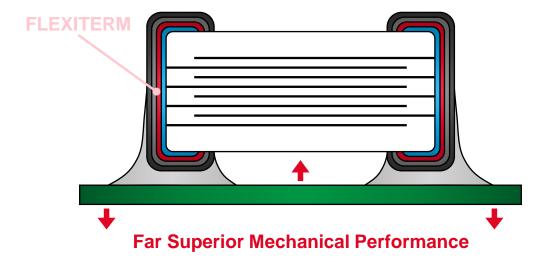
Standard: Cu Termination





Flexible Termination



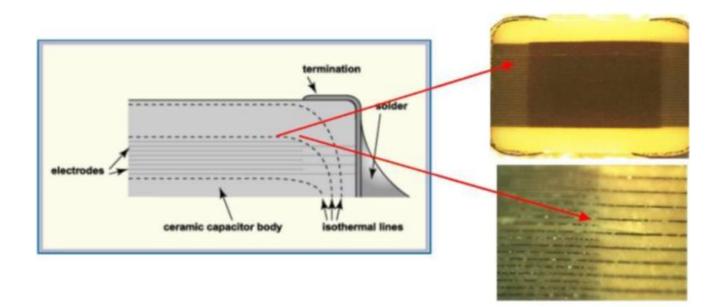


Cause 1: Thermal Stress Damage

Cracks due to Thermal Stress Occur due to Rapid Heating or Cooling when Soldering or if the Body or Terminations are Touched Directly with Soldering Iron. The Cracks Typically Originate at or Near the End Terminations and may Propagate Along Isothermal Lines. Therefore, Extending Along and Between Internal Electrodes.

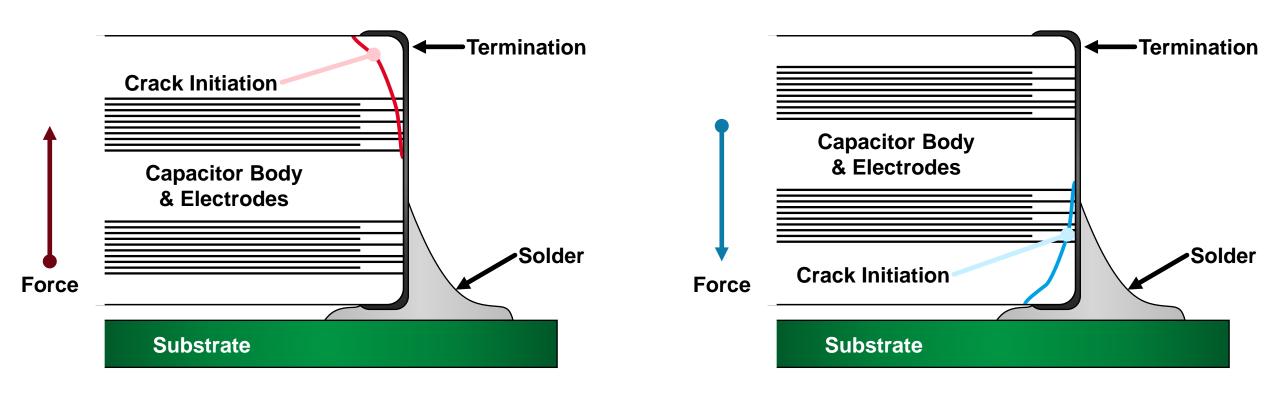
This Type of Cracking Can be Caused by:

- An Overly Aggressive Soldering Profile (i.e. Insufficient Pre-heat, Excessive Peak Temperature, too Rapid Ramp Up or Down).
- Re-Working of the Components using Soldering Irons. KYOCERA AVX Recommends Hot Air Reflow for Re-Working of Capacitors. However, if Soldering Irons Must be Used, the Tip Must be Kept <300C and Should not be Allowed to Make Direct Contact with the End Terminations of the Ceramic Body.
- Extended Exposure to High Temperatures (Peak Soldering Temp, Burn-In Hotspots)



Cause 2: Failure Due to Mechanical Stress

One of the Most Common Causes of Failure is that the Capacitor is Stressed Mechanically After being Soldered to the Circuit Board as Demonstrated Below.



Cause 3: Failure Due to Component Fabrication Defect

If a Fabrication Defect is Present Inside a Ceramic Capacitor, This May Result in a Weakness in the Dielectric Making it More Susceptible to Breakdown When Under Powered Conditions.

Such Defects Generally Fall into 3 General Categories:

- Voids
- Thin Dielectric Layers
- Delamination

| Defect | Cause | Countermeasures |
|------------------------|---|--|
| Void | Particles Entering the Component During Initial Fabrication, Then Burning off During Firing to Leave a Small Void | Product is Manufactured on Class 10,000 Clean Room All Lots are 100% Tested for Voltage and Insulation Resistance. Samples From Each Lot are Subjected to DPA (Cross-Sectioning) Samples From Each Lot are Subjected to Reliability Test at Accelerated Conditions. |
| Delamination | Burn-Out of Organics or Sintering Process not Optimized | Samples From Each Lot are Subject to DPA All Lots are 100% Tested for Voltage and Insulation Resistance Samples From Each Lot are Subject to Reliability Test at Accelerated Conditions |
| Thin Dielectric Layers | Ceramic Screening Error During the Deposition of the Ceramic Slips | Samples From Each Lot are Subject to DPA All Lots are 100% Tested for Voltage and Insulation Resistance Samples From Each Lot are Subject to Reliability Test at Accelerated Conditions |

Cause 4: Electrical Overstress

If a Component is Subjected to a Voltage Higher Than its Dielectric can Withstand Then the Dielectric May Breakdown. Typically, This Results in a "Point" Type Defect with Cracking in the Vicinity of the Breakdown.

The Following Photograph Demonstrates a Dielectric Layer Broken Down by Applying Excessive DC Voltage:



When the Dielectric has Been Broken Down in this Fashion, Current Begins to Flow Between Opposing Electrodes, and Eventually the Component will Start to Heat Until it Melts the Internal Electrodes, Ceramic and End Terminations.

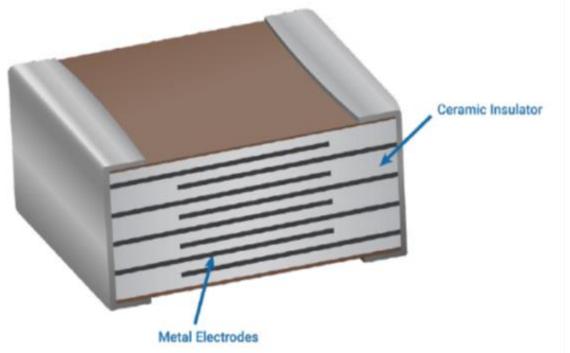
Below is a Photograph of One Such Component Purposely Shorted by Applying Excessive Voltage and the Having had 5 Amps Applied for a Few Minutes.



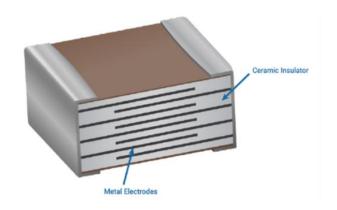
In the mid 1990's – typical minimum dielectric thickness was 5um and the MLCC had many hundred layers

Today minimum dielectric thicknesses can be ~ 0,5um and > 1000 dielectric layers

E field stress on the dielectric has increased dramatically



The dielectrics used to attain high capacitance have Ferroelectric properties. The permittivity of those dielectrics decrease with increased electric field (from thinner dielectrics). Recall:



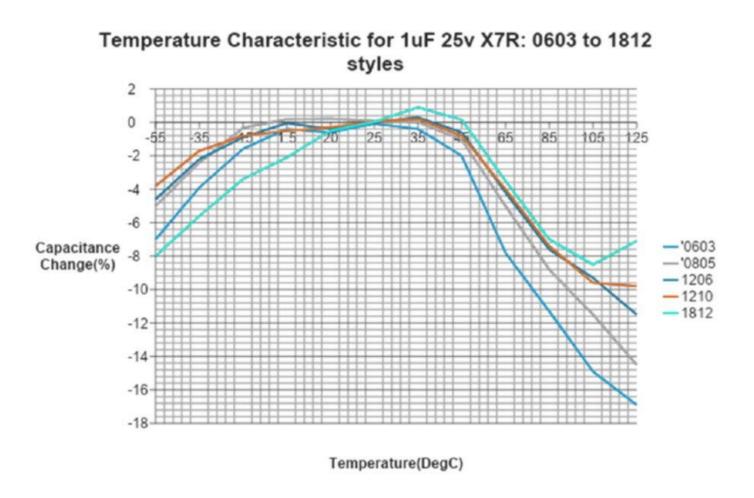
$$C = \varepsilon \frac{NA}{d}$$

This causes temperature and DC bias instability.

Something end users must understand and factor into designs.

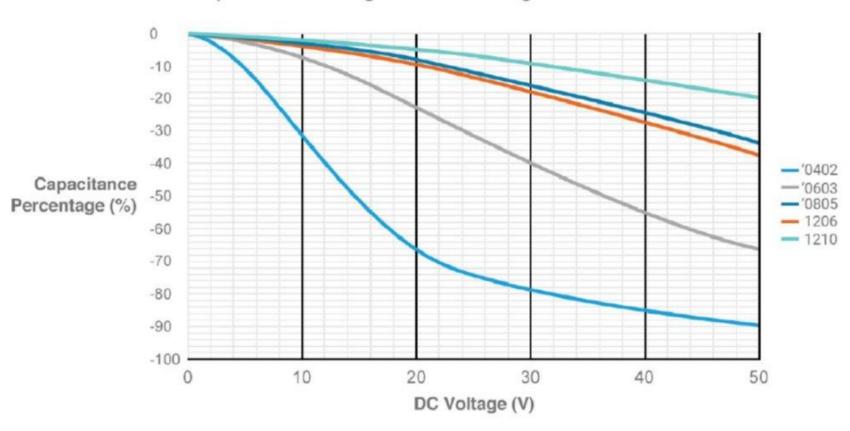
See next slides:

High E field effect on temperature stability

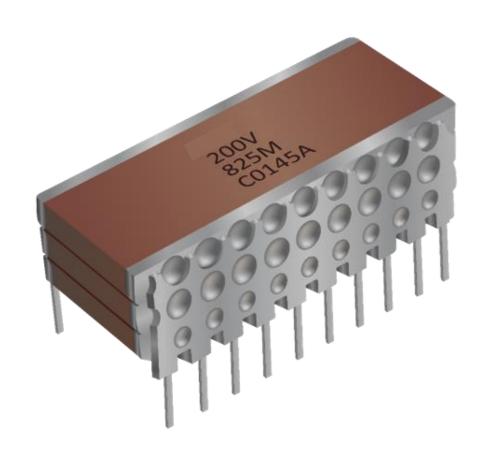


High E field effect on DC Bias stability





What about Large MLCC Trends?



MLCC Stacked Capacitors

High Reliability – 'Reduced' Inductance 'Reduced' ESR

Why Stacked Ceramics?

Efficiency Through ESR & ESL Reduction



Stacked caps offer improvements in capacitor:

- Weight
- Volume
- Board area
- Reliability
- Electrical performance

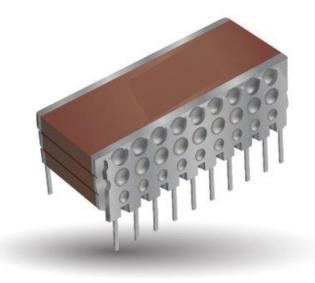
Aluminum Electrolytic vs. Stacked Ceramics

Radial Aluminum Electrolytic compared to Stacked Capacitor

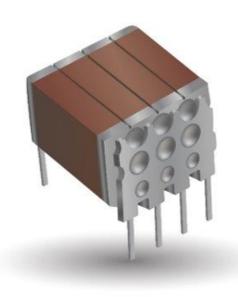
Note – Multiple stacked capacitor types now exist:







Horizontal stacks



Vertical stacks

Aluminum Electrolytic vs. Stacked Ceramics

Mechanical

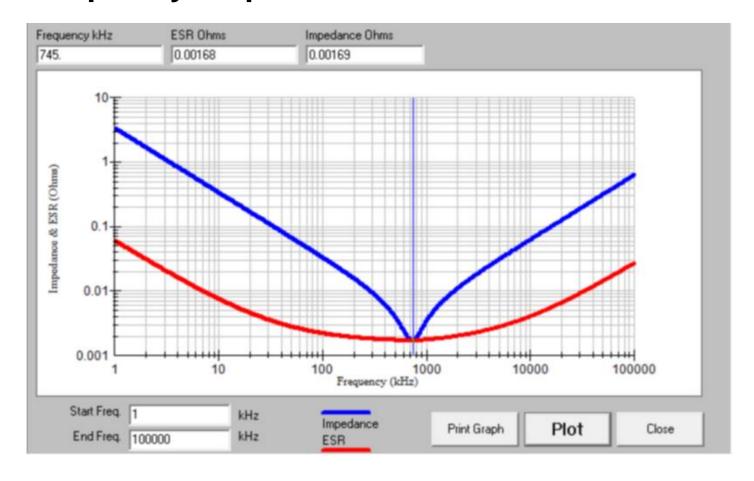
Radial Aluminum Electrolytic compared to Stacked Capacitor (in this study)

| Parameter | Stacked Ceramic | Radial Electrolytic |
|------------------------------|-----------------|---------------------|
| Weight - grams | 4.6 | 11.5 |
| Volume - cubic mm | 1463 | 7600 |
| Board Area (X-Y) – square mm | 217 | 211 |
| Height - mm | 6.75 | 36 |
| Weight/uF - grams/uF | 0.098 | 0.0035 |
| Volume/uF - cubic mm/uF | 31.13 | 2.30 |

Aluminum Electrolytic vs. Stacked Ceramics

Electrical

Stacked MLCC Frequency response



Aluminum Electrolytic vs. Stacked Ceramics

Electrical

Stacked MLCC Frequency response

| Typical ESR Performance (mΩ) | | | | | | | | |
|------------------------------|---------------------------------------|--|---|---------------------------|---------------------------|--|--|--|
| | Aluminum Electrolytic 100µF/50V | Low ESR Solid Tantalum 100µF/10V | Solid Aluminum Electrolytic 100µF/16V | MLCC SMPS 100µF/50V | MLCC SMPS 4.7µF/50V | | | |
| ESR @ 10KHz | 300 | 72 | 29 | 3 | 66 | | | |
| ESR @ 50KHz | 285 | 67 | 22 | 2 | 23 | | | |
| ESR @ 100KHz | 280 | 62 | 20 | 2.5 | 15 | | | |
| ESR @ 500KHz | 265 | 56 | 18 | 4 | 8 | | | |
| ESR @ 1MHz | 265 | 56 | 17 | 7 | 7.5 | | | |
| ESR @ 5MHz | 335 | 72 | 17 | 12.5 | 8 | | | |
| ESR @ 10MHz | 560 | 91 | 22 | 20 | 14 | | | |

High Reliability Stacked Capacitor Specifications

- MIL PRF 49470/1
- MIL PRF 49470/2
- DSCC 87106
- DSCC 88011

Horizontal Stacked Ceramic Capacitor Reliability

PRODUCT: Horizontal Stacked MLCC - Multiple Case Sizes

TEST CONDITIONS: DATA BASED ON 1000 OR 2000 HOURS LIFE TESTING AT 200% RATED VOLTAGE AND 125°C

| | | (100% rated v | oltage, 125°C) | (50% rated v | oltage, 50°C) | |
|---------------------------|----------------|---------------------------|--------------------|---------------------------|--------------------|--------------------------------|
| Product Type | Lots Tested | Equivalent Device Hrs. | Failure Rate 1/ | Equivalent Device Hrs. | Failure Rate 1/ | Failure Rate (FITS**) 2/ |
| Horizontal Stacked - MLCC | 98 | 1.43E+07 | 0.03 | 1.14E+11 | 0.000003 | 0.03 |

Max. Rated Voltage & Temperature

| MTBF | 2.94E+10 |
|------|----------|
| | |

NOTES:

- 1/ FAILURE RATES ARE CALCULATED IN PERCENT PER 1000 HOURS AT 90% CONFIDENCE LEVEL
- 2/ 1 FIT = 1 FAILURE IN 10 E+9 HOURS AT 90% CONFIDENCE LEVEL (PPM/1000 hours)

 $Total\ Acceleration\ (Acc_{T}) = Temperature\ Acceleration\ (Acc_{t})\ x\ Voltage\ Acceleration\ (Acc_{V})$

$$\begin{aligned} V_t &= \text{Test Voltage} & V_u &= \text{Use Voltage} & t_t &= \text{Test Temp.} & t_u &= \text{Use Temp.} \\ & Acc_{_{\mathcal{V}}} &= \left(\frac{p_t}{p_{tu}}\right)^3 & Acc_{_t} &= 10^{\left(\frac{t_t-t_u}{25}\right)} \end{aligned}$$

Vertical Stacked Ceramic Capacitor Reliability

PRODUCT: Vertical Stacked MLCC - Multiple Case Sizes

TEST CONDITIONS: DATA BASED ON 1000 OR 2000 HOURS LIFE TESTING AT 200% RATED VOLTAGE AND 125°C

| | | (100% rated v | oltage, 125°C) | (50% rated v | oltage, 50°C) | |
|-------------------------|----------------|---------------------------|--------------------|---------------------------|--------------------|--------------------------------|
| Product Type | Lots Tested | Equivalent Device Hrs. | Failure Rate 1/ | Equivalent Device Hrs. | Failure Rate 1/ | Failure Rate (FITS**) 2/ |
| Vertical Stacked - MLCC | 98 | 1.43E+07 | 0.03 | 1.14E+11 | 0.000003 | 0.03 |

Max. Rated Voltage & Temperature

NOTES:

- 1/ FAILURE RATES ARE CALCULATED IN PERCENT PER 1000 HOURS AT 90% CONFIDENCE LEVEL
- 2/ 1 FIT = 1 FAILURE IN 10 E+9 HOURS AT 90% CONFIDENCE LEVEL (PPM/1000 hours)

 $Total\ Acceleration\ (Acc_T) = Temperature\ Acceleration\ (Acc_t)\ x\ Voltage\ Acceleration\ (Acc_V)$

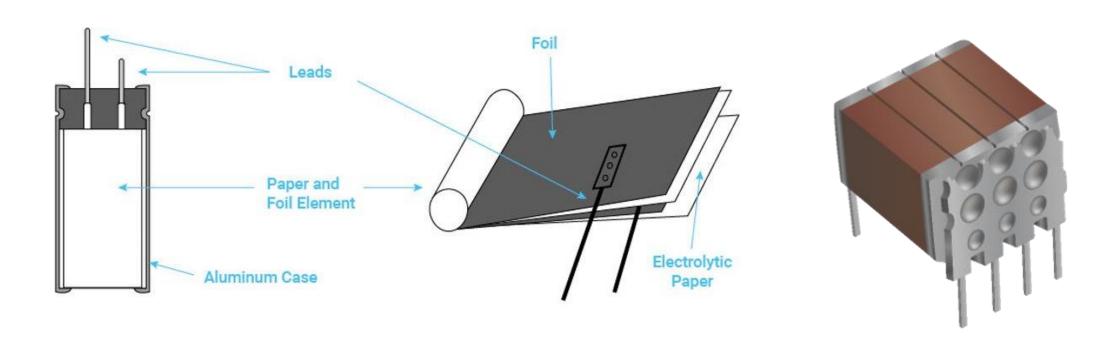
Where:

$$V_t = Test \ Voltage$$
 $V_u = Use \ Voltage$ $t_t = Test \ Temp.$ $t_u = Use \ Temp.$

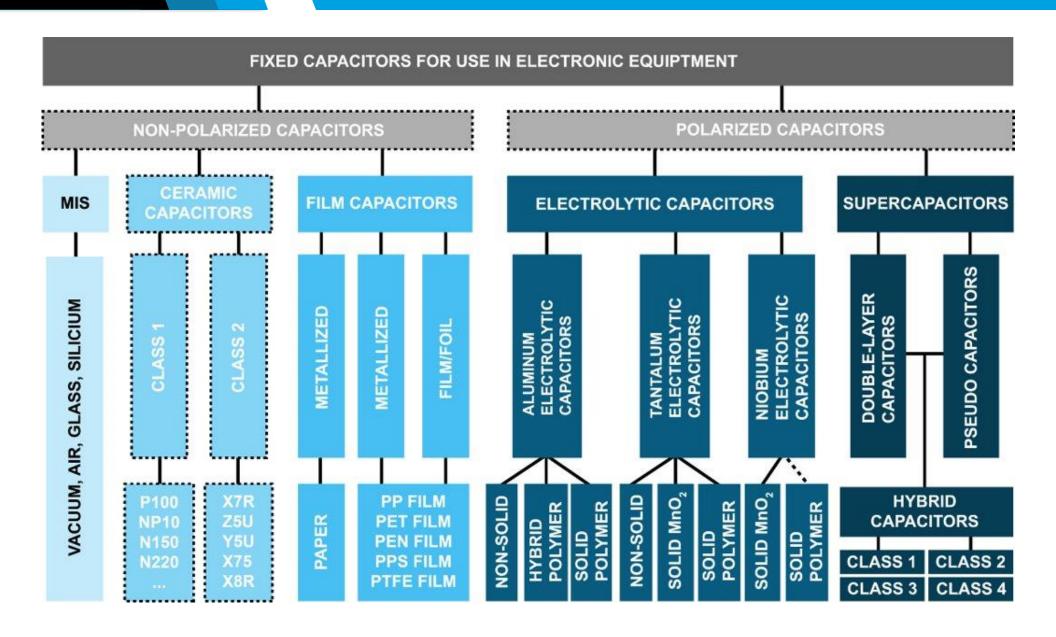
$$Acc_{V} = \left(\frac{V_{t}}{V_{tt}}\right)^{3}$$
 $Acc_{t} = 10^{\left(\frac{t_{t} - t_{y}}{25}\right)}$

General Capacitor Selection Rules

Lowered Inductance



Recap

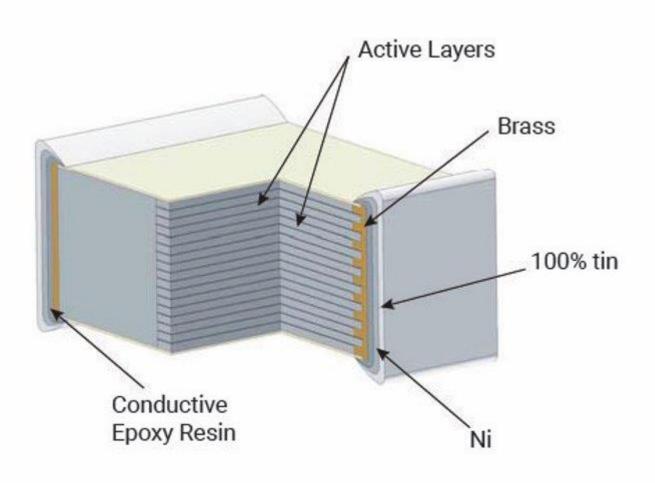




Film Capacitors

Electrostatic Capacitors - Film

FAILSAFE – Does not short



- Fixed Value
- Ceramic is the dielectric
- Metal is the electrode
- Multiple layers
- Various shapes/forms

Stacked Film Capacitors (Common examples)



- Low inductance
- No noise
- Self healing
- High Thermal shock capability
- High Temperature Cycle capability

| PPS (Polyphenylene sulfide) | PET (Polyethylene tetraphtalate) | PEN (Polyethylene Napthalate) |
|-----------------------------|-------------------------------------|----------------------------------|
| -55c to 125c | -55c to 125c | -55c to 125c |
| 1206, 1210, 1812 | 1206 to 6054 | 1206 to 6054 |
| 1nf – 180 uf | 10nf to >>>4.7 uf | 1nf to 4.7uf |
| 2% 5% 10% | 5% 10% | 5% 10% |
| 16v to 50v | 63v to 630v | 25v to 630v |

Assembly

- 1. Stamping/labeling
- 2. Special testing and screening:
 - Thermal cycle/shock
 - DC elevated temp burn-in
 - AC elevated temp burn-in
 - Power testing and thermal profiling
 - Specialized testing such as ramp testing, square wave, shock, vibration, humidity, pulsing/strobe
- 3. Testing to final print specifications
- 4. QC inspection to mechanical and point electrical requirements

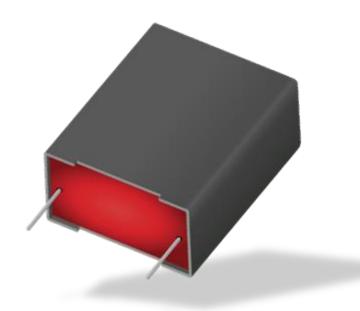


Testing

All capacitors are tested at least twice for standard parameters:

- Dielectric withstanding voltage (DWV)
- Capacitance
- Dissipation factor (DF)
- Equivalent Series Resistance
 (ESR)
- Insulation Resistance (IR)

Specific tests as specified by the customer

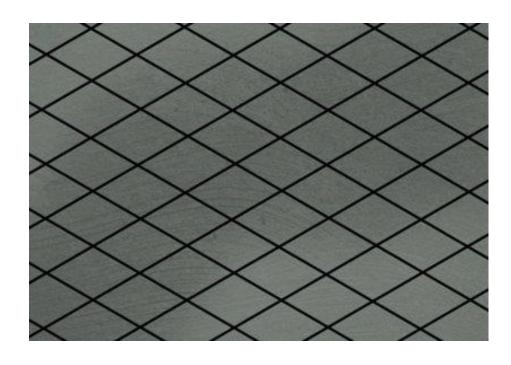


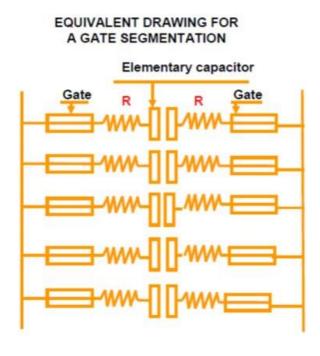
Metalized Film

Film is Metalized in a Specific Pattern to Create Small Fused Areas

Careful -

Manufacturers have different fusing methods – therefore different wear out rates





Metalized Film

Metallized Film is Wound Into Pucks, Bobbins, and Dice-able SMT Chips

Basic Concept: development, qualification and production of standard elementary wound bobbins.



Flat Bobbin

- Film Width: ½" up to 6"
- Thickness: up to 1.6"
- Length: up to 7"



Cylindrical "Puck" Shape

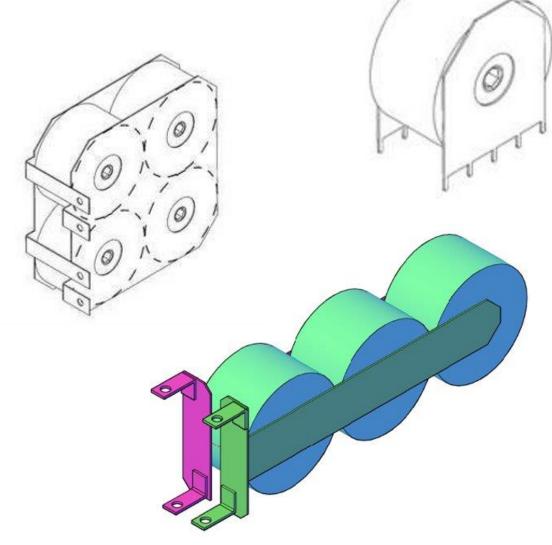
- Film Width: ½" up to 6"
- External diameter up to 3.2"

Puck

Pucks are placed onto bus bars and encapsulated

Fundamental brick to create modules



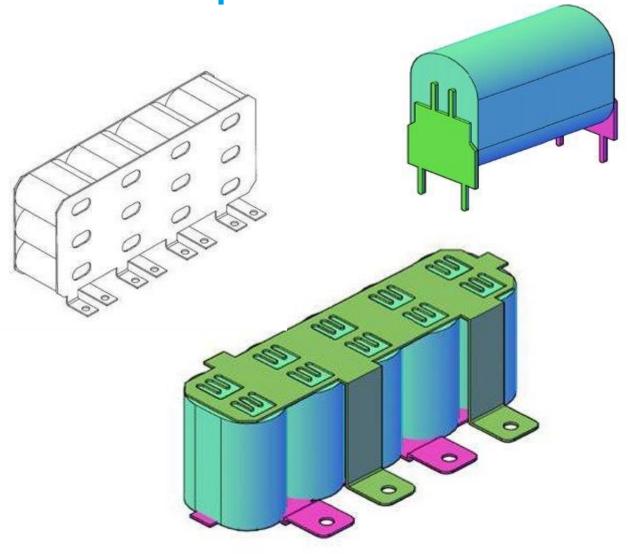


Bobbins

Bobbins are placed onto bus bars and encapsulated

Fundamental brick to create modules





Oil and Power Film Capacitors

Dry





- Metallized film, segmented
- Cylindrical or flat and hard bobbins
- Resin filled case

No Free Oil





- Metallized film with organic oil between layers, non-segmented
- Flat and soft bobbins
- Resin filled case

Oil Impregnated



- Metallized film, Rapeseed oil impregnated, non-segmented
- Flat and soft bobbins
- Oil filled case

Characteristics of Film SMD Capacitors

Temperature DC Bias Time Frequency

| | PET (MKT) | PEN (MKN) | PPS (MKI) | NPO | X7R | Tantalum |
|--|-------------------|-------------------|--------------------|----------------------|---------------------|----------------|
| Operating temperature (°C) | -55/125 | -55/125 | -55/140 | -55/125 | -55/125 | -55/125 |
| ⊗C/Cwith temperature (%) | +/- 5 | +/- 5 | +/- 1.5 | +/- 1 | +/- 15 | +/- 10 |
| DC voltage coefficient (%) | negl. | negl. | negl. | negl. | -20 | negl. |
| ⊗C aging rate (%/h dec.) | negl. | negl. | negl. | negl. | 2 | n.a. |
| Dissipation factor (%) 1 kHz 10 kHz 100 kHz | 0.8 1.5 3.0 | 0.8 1.5 3.0 | 0.2 0.25 0.5 | 0.10 0.10 0.10 | 2.5 | 8 |
| ESR | low | low | very low | low | moderate to high | high |
| IR (M· . μF) 25°C 85°C | 10000 1000 | 10000 1000 | 10000 1000 | 10000 1000 | 1000 500 | 100 10 |
| Dielectric absorption (%) | 0.5 | 1 | 0.05 | 0.6 | 2.5 | n.a. |
| Capacitance range from (pF) to (µF) | 1000 10 | 1000 4.7 | 100 1 | 10 0.047 | 100 4.7 | 100000 1000 |
| Capacitance tolerance (+/- %) | 5 10 20 | 5 10 20 | 2.5 5 10 20 | 5 10 | 10 20 | 10 20 |
| Self healing | yes | yes | yes | no | no | no |
| Typical failure mode at end of life | open | open | open | short | short | short |
| Reliability | high | high | high | high | moderate | low |
| Piezoelectric effect | no | no | no | yes | yes | yes |
| Resistance to thermal and Mechanical shock | high | high | high | moderate to low | moderate to low | high |
| Non-linear distorsion (3 rd harmonic) | very low | very low | very low | low | high | n.a. |
| Polarity | no | no | no | no | no | yes |

¹⁾ All data are typical values

N.B.: SMD = Surface Mounted Device SMT = Surface Mounted Technology

Common Film Types:

Polypropylene (PP)

Polyphenylene Sulfide (PPS)

Polycarbonate (PC)

Polyethylene Naphthalate (PEN)

Teflon (PTFE)

Polyethylene terephthalate (PET)

Why use Film Capacitors?

Advantages of Film Dielectric

- Self Healing property, Open failure mode
- Low dissipation factor, ESR and ESL
- Excellent thermal shock resistance
- No piezoelectric effect
- Non polar construction
- No derating vs voltage or temperature (good stability)
- Good capacitance/volume ratio due to stacked construction
- Competitive vs. ceramic high voltage or high capacitance values



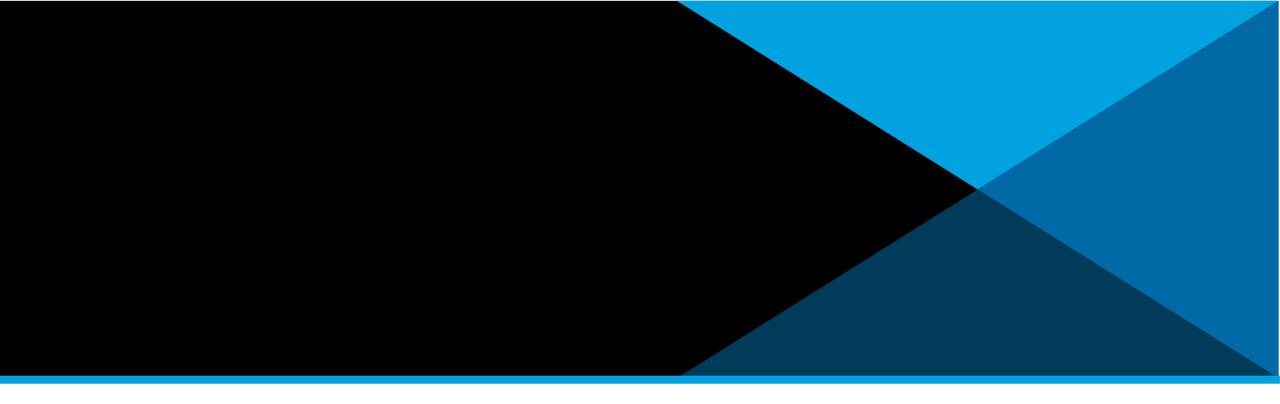
Why use Film Capacitors?

Electrolytic Alternate

| | FILM | ELECTROLYTIC |
|--------------------------|---------------------|---------------------|
| SURGE VOLTAGE | 2X RATED VOLTAGE | 1.2 X RATED VOLTAGE |
| REVERSE VOLTAGE ALLOWED | YES | NO |
| RMS. CURRENT | UP TO 1ARMS PER µF | 0.025 ARMS PER μF |
| MTBF | 10M HOURS | 1M HOURS |
| LIFE TIME | >100,000H | 40,000H |
| STORAGE | > 10 YEARS | 1 YEAR MAX |
| ENVIRONMENTALLY FRIENDLY | YES | NO |
| END OF LIFE | SOFT – 15% CAP LOSS | EXPLOSION RISK |

Film Capacitor Applications

| | | | APPLICATIONS | | | | | | |
|---|----------------|---|--------------|----------|----------|---------|---------------|-------|------------------|
| | FILM CAPACITOR | | | CONSUMER | LIGHTING | MEDICAL | NEW ENERGY | POWER | TELECOM/ DATA |
| SMD CAPACITOR | | PET Dielectric & PPS Dielectric: Good for Blocking/Coupling, Bypass, Decoupling, and Smoothing. | X | X | | X | | Χ | X |
| FILM CAPACITOR PET/PP – Metallized Film: Blocking/Coupling, Bypass/Decoupling, Film/Foil: Band-pass Filter, Band stop Frequencies, Timing, Peak Voltage Detectors. | | X | X | X | X | | X | × | |
| EMI SUPPRESSION CAPACITOR | | Metallized Paper: Dielectric-suppresses High-frequency Disturbances of Electrical Equipment on the Mains. Capacitor Voltage Dropper. | | X | X | X | X | X | X |
| PULSE DUTY CAPACITOR | | PP Dielectric: High pulse duty. Smoothing, energy Storage, Oscillating Circuit, Snubbing (Relay). | X | X | X | X | | X | X |
| GTO CAPACITOR | | Energy Storage: stores the energy and releases in a short time. Snubbing (GTO Thyristor)-decreases over voltage peaks by high current switching. | | | | | X | Х | |
| DC-LINK CAPACITOR | | Energy Buffer: (converter) - Capacitor stores DC-voltage in an intermediate circuit. Non-Polar. | X | | | | X | X | |
| SNUBBER CAPACITOR | | Energy Storage: Capacitor is charged to a high voltage, stores the energy and releases it in a short time. Snubbing: (IGBT) low-self inductance. | X | X | | X | X | X | |

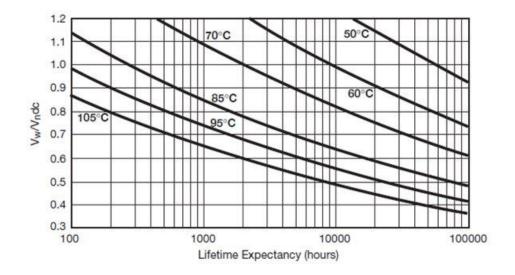


Power Film Capacitor

Lifetime Calculation

Calculations

LIFE TIME EXPECTANCY vs VOLTAGE & HOT SPOT TEMPERATURE



Hot spot temperature will be determined with the following expression:

$$\theta_{max_{hotspot}} = \theta_{ambient} + l_{rms}^2 x \left[rs + \frac{1}{C \times 2 \times \pi} tg \delta_0 \right] \times Rth$$

with: θmax_{hotspot}: the maximum hot spot temperature

 $tg\delta_0$: dielectric losses

Rth: Thermal resistance

Rs: Serial resistance

9hot spot will be 85°C or 105°C function of the application and

the technology.

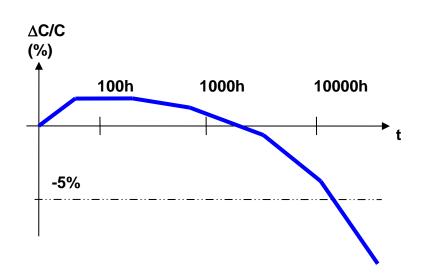
Controlled Self-Healing

Medium Voltage Power Technology:

Basic concept of segmented metallized film:

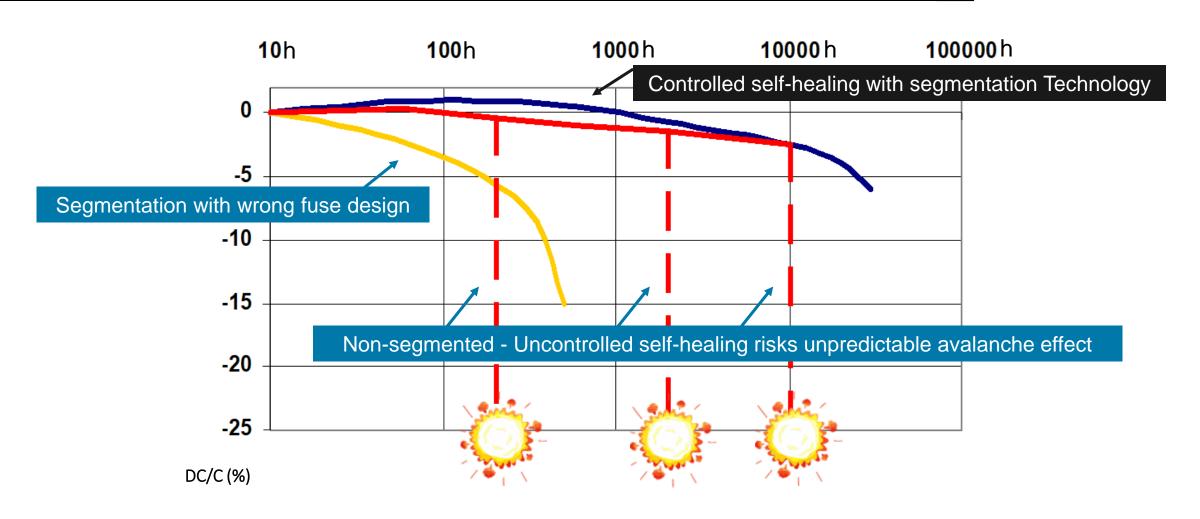
- The total capacitance is divided into elementary cells protected by fuse gates (several million).
- In the event of a weak point, only that cell will be removed by fuse activation.
- Capacitance decreases as a function of cell element fuse activation.
- No catastrophic failure (short circuit) results, only a decrease in bulk capacitance.
- Aging level (Delta cap) is calculable.





Careful

Controlled Self-Healing performance varies by mfgr



Lifetime: Mission Profile

Mission profile Input data: I, ambient temp, voltage are given vs rate

| RATE | 3% | 7% | 18% | 32% | 24% | 10% | 5% | 1% |
|---------------|-----|-----|------|-----|-----|-----|-----|-----|
| I (ARMS) | 50 | 190 | 250 | 220 | 200 | 150 | 120 | 100 |
| POWER (W) | 5 | 8 | 12.5 | 9.7 | 8 | 4.5 | 3 | 2 |
| AMB TEMP. | 76 | 79 | 83 | 92 | 100 | 116 | 134 | 138 |
| Δθ (°C) | 5 | 8 | 10 | 9 | 8 | 5 | 3 | 2 |
| HOT SPOT (°C) | 81 | 87 | 93 | 101 | 108 | 121 | 137 | 140 |
| VOLTAGE (V) | 600 | 550 | 500 | 490 | 460 | 375 | 315 | 280 |

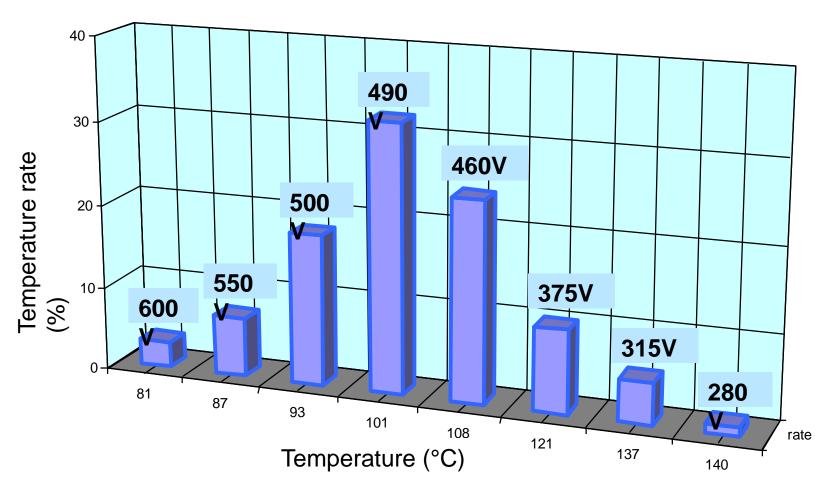
For each rate, lifetime consumption is calculated vs voltage and Hot Spot temperature

Lifetime: Mission Profile

Lifetime Expectancy:

 $\frac{13\ 000h}{\sum\ Lci}$ = 20 000h

(Σ Lci=65%)





Design vs. Mission Profile

What correct design & use yields

Number of parts in use: 3.2 x 107

Study period: 1979 - 2025

Number of different designs: 450

Voltage Range: 75v To 4.6kv

Estimation of Working Time: 86.3 Billion Hours

Catastrophic Failure: ZERO

Capacitor Types Technologies

MLCC

Film

Thin Film

MLO

Mica

Low - Medium Cap / Voltage Small Case

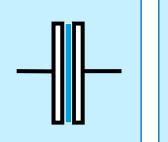
High CV MLCC

Large Cap / Voltage Small Case

Non-Polar components:

Electrode Dielectric Electrode **Electrostatic Capacitors**

Electrolytic Capacitors



<u>+</u>]]|-

Tantalum Chip

Tantalum Polymer

Niobium Oxide Chip

Tantalum Leaded

Al Solid

Large Cap / Voltage Small Case

Tantalum Wet

Al Wet / Hybrid

High Cap / Voltage large case

Polar components:

Anode Dielectric Cathode

Solid **Electrolytics**

Wet Electrolytics

SMT V Chip ALUMINUM ELECTROLYTIC

Typical high volume SMT V chips come in three technologies Generalized ranges shown



Aluminum Electrolytic

6.3V - 400V $2.2\mu F - 6800\mu F$ $-55^{\circ}C$ to $125^{\circ}C$

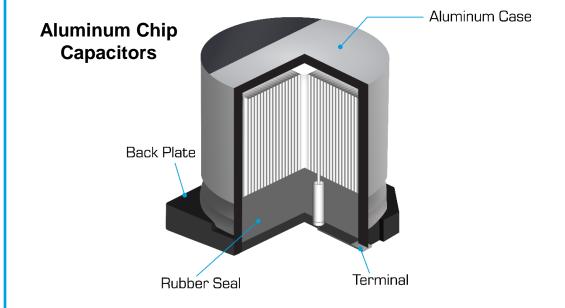


Hybrid Polymer

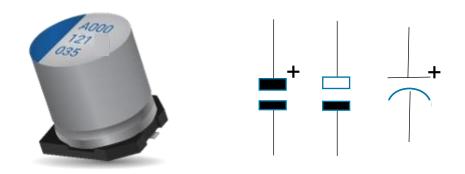
16V - 125V $10\mu F - 560\mu F$ $-55^{\circ}C$ to $125^{\circ}C$



Conductive Polymer 35V - 100V $12\mu F - 470\mu F$ $-55^{\circ}C$ to $105^{\circ}C$



Aluminum Electrolytic Capacitor



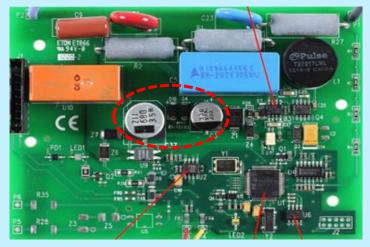
- Polarized Bulk Capacitors
- Commonly used in low frequency applications
- Ideal for high ripple loads, bulk filtering & peak power use
- Wide voltage range 6.3V to 400V
- "Generally" Stable
- Acceptable reliability with correct selection & sizing

| Electrolyte | Anode Electrode Material | Dielectric | Relative Permittivity | Overall Properties |
|-------------|--------------------------|--------------------------------|-----------------------|--|
| Wet / Solid | Al - Aluminum | Al ₂ O ₃ | ~ 9.3 | General Purpose, Large Value, Large RMS |
| Wet / Solid | Ta - Tantalum | Ta ₂ O ₅ | ~ 26 | High Performance, Small Size, High Reliability |
| Solid | NbO – Niobium Oxide | Nb2O5 | ~40 | Small size, intermediate to low to mid power |

Aluminum Electrolytic Technology Comparison

| Attribute | Wet | Polymer | Hybrid |
|-----------------|--|---|--|
| Benefits | Low CostLow DCLBroad Value Range | Ultra Low ESRHigher RippleEnhanced Life | Low ESRLow DCLHigher Reliability |
| Points to Check | •Reliability •ESR | •Higher DCL •Higher Cost | •Higher Cost •Range Limits |

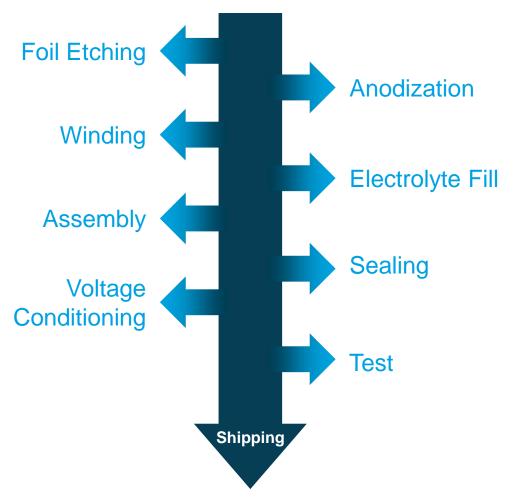


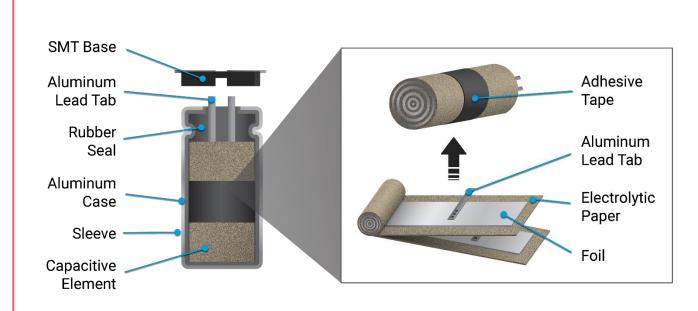


V chip SMT ALUMINUM ELECTROLYTIC

Construction & Type

SMT Aluminum Electrolytic Capacitors – Electrolyte Controls Device Characteristics

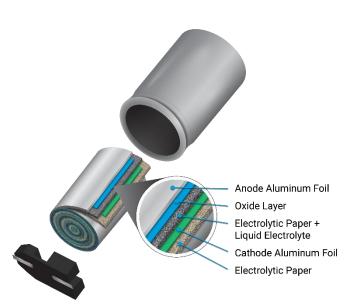




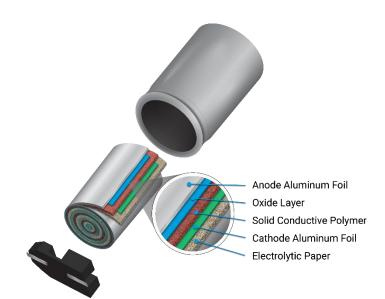
SMT ALUMINUM ELECTROLYTIC Construction & Type

SMT Aluminum Electrolytic Capacitors – Electrolyte Controls Device Characteristics

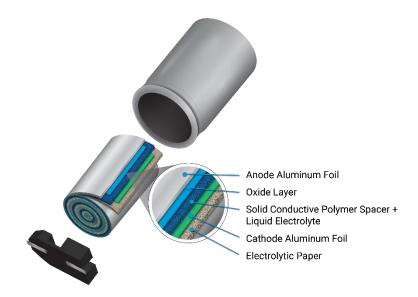
Wet Electrolytic



Polymer Electrolytic



Hybrid Electrolytic



Applications by Electrolyte





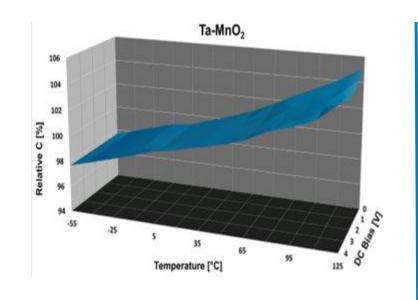


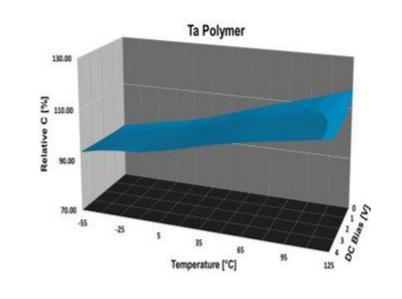
| APPLICATION | WET | POLYMER | HYBRID | |
|----------------------|-----|---------|--------|--|
| Power Conversion | ++ | +++ | +++ | |
| Filtering | +++ | +++ | +++ | |
| Battery | +++ | + | +++ | |
| Audio | +++ | +++ | +++ | |
| Base Station | + | ++ | +++ | |
| Industrial | + | +++ | +++ | |
| Low Temperature | + | +++ | ++ | |
| High Temperature | ++ | + | +++ | |
| Extended Reliability | | ++ | +++ | |
| High Vibration | +++ | + | +++ | |

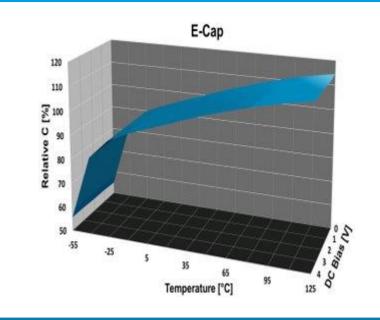
SPECIFICATION COMPARISON – 1210 EQUIVALENT EXAMPLE

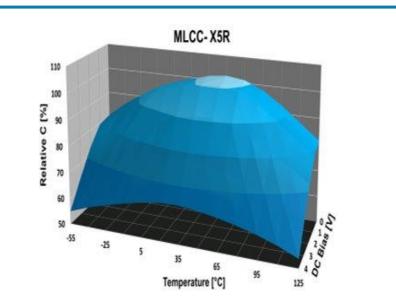
| ATTRIBUTES | MLCC | | STANDARD TA CHIP | | POLYMER TA CHIP | |
|--|------------------------|--------------------------|------------------|--------------------------|--------------------------|----------------|
| | COMMERCIAL | AEC-Q200 | COMMERCIAL | AEC-Q200 | COMMERCIAL | AEC-Q200 |
| Max Capacitance 1210 | 100uF | 10uF | 150uF | 100uF | 220uF | 47uF |
| Voltage Range 1210 | 4v - 50v | 16v - 100v | 4v - 50v | 4v - 50v | 4v - 50v | 4v - 50v |
| Typical ESR 1210 | 7 - 15mOhms | 10 - 40mOhms | 300 - 800mOhms | 300 - 800mOhms | 30 - 200mOhms | 70 - 250mOhms |
| Temperature Range | -55°C - +85°C | -55°C - +125 / +150°C | -55°C - +125°C | -55°C - +125 / +200°C | -55°C - +105 / +125°C | -55°C - +125°C |
| Base Reliability | 1% / 1000hrs | 1% / 1000hrs | 1% / 1000hrs | (0.05 - 1%) / 1000hrs | 1% / 1000hrs | 1% / 1000hrs |
| Primary Failure Mode | Short | Short | Short | Short | Short | Short |
| Lifetime (10% Cap loss @ Tmax / Vmax) | Indefinite | Indefinite | Indefinite | Indefinite | 10,000hrs | 10,000hrs |
| Recommended Voltage Derating | 20% | 20% | 50% | 50% | 20% | 20% |
| DISADVANTAGES | COMMERCIAL | AEC-Q200 | COMMERCIAL | AEC-Q200 | COMMERCIAL | AEC-Q200 |
| Voltage Coefficient | Cap Loss vs V | Cap Loss vs V | | | | |
| Piezo Noise | @ Audio Frequencies | @ Audio Frequencies | | | | |
| Reverse Voltage | | | Not Allowed | Not Allowed | Not Allowed | Not Allowed |

Electrolytic vs MLCC X5R Stability

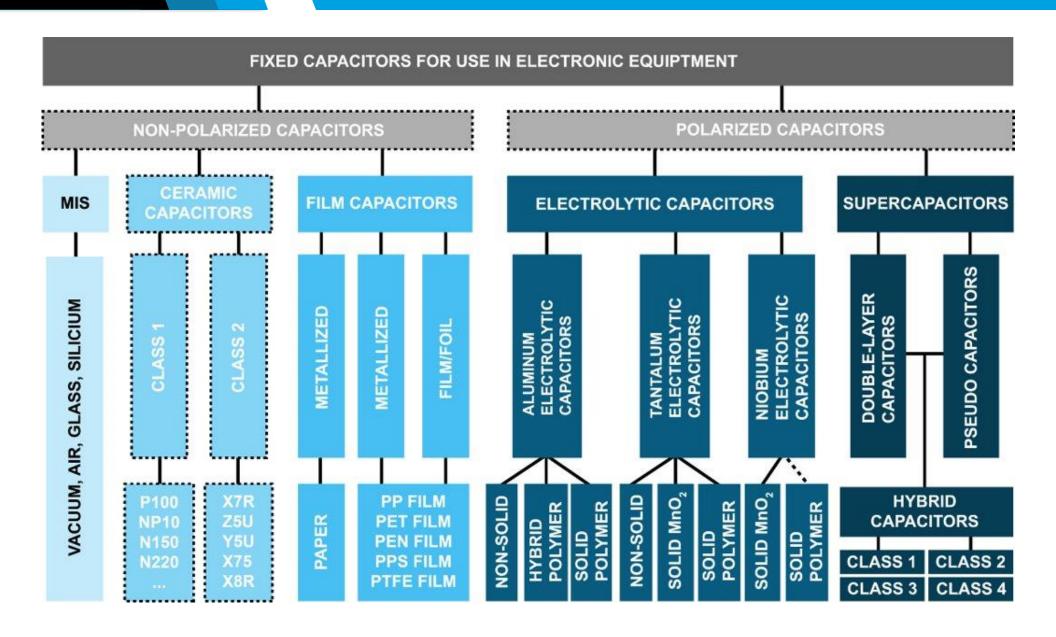


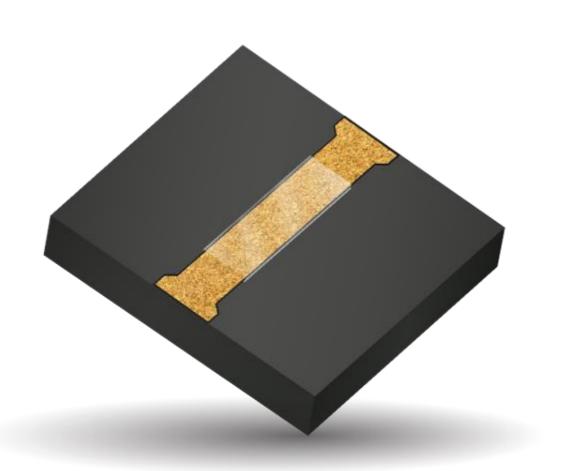






Recap



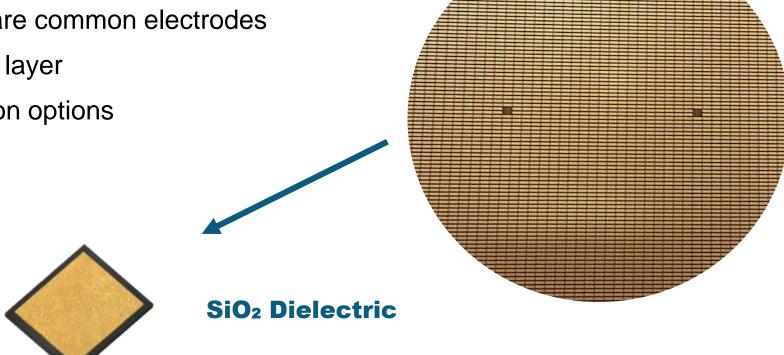


Thin Film Capacitors

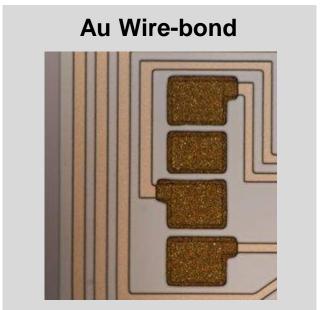
Electrostatic Capacitors

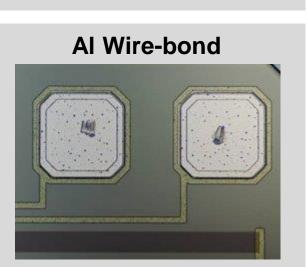
Thin Film Capacitor

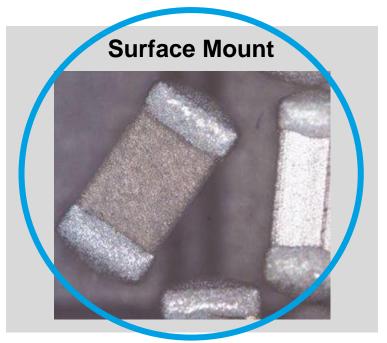
- Fixed Value
- Thin Film SiO₂ Common dielectric
- Gold, Aluminum are common electrodes
- Single or multiple layer
- Various termination options

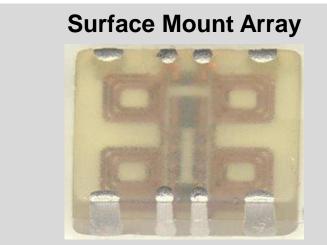


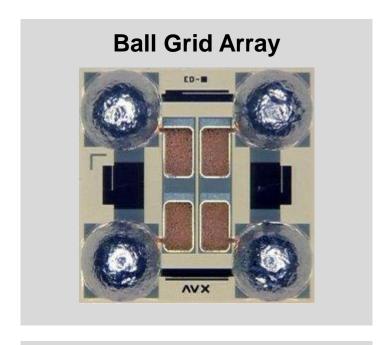
Thin Film Capacitor Types & Terminations

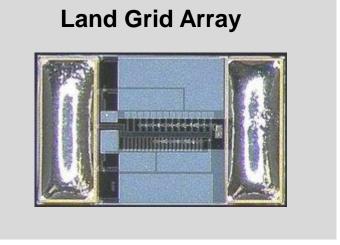




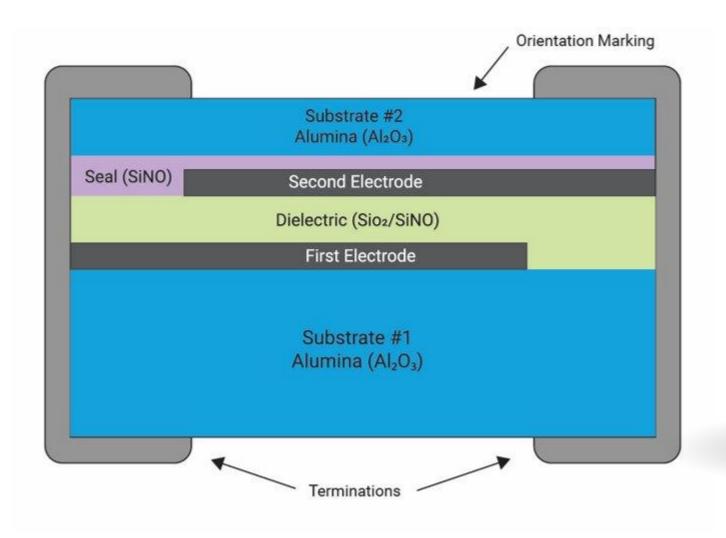


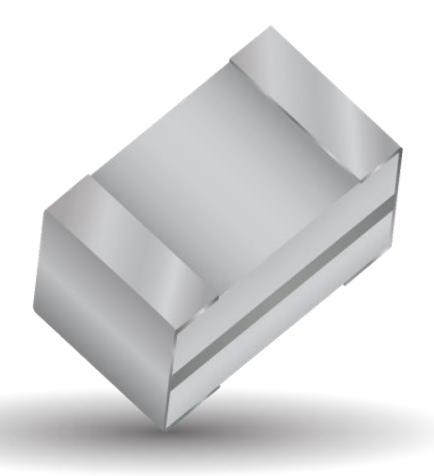






Final Product



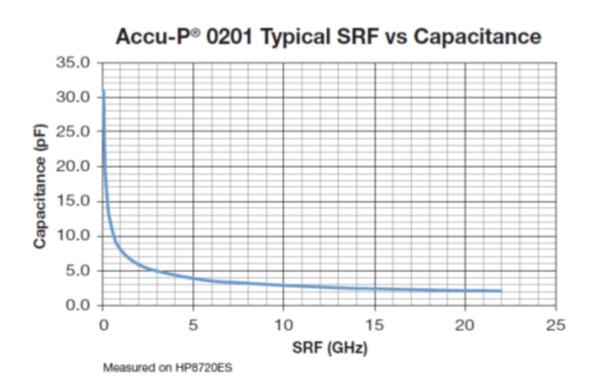


Thin Film Capacitor

Exceptional capacitance tolerance, matching & stability

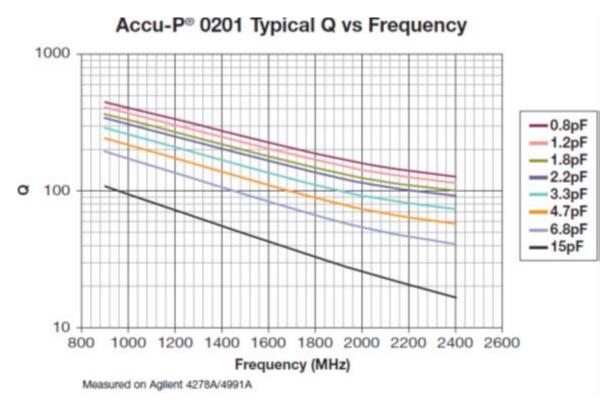
Time: Negligible Aging

Temperature: 0±30ppm/°C, 0±60ppm/°C



Voltage: Negligible DC bias effects

Frequency: exceptionally high Q and stable



Thin Film

Application

- RF & High Frequency, High precision
- Value Range Sub Pf to < 100pf
- Voltage range </=200v
- Tolerances as tight as 10 fF / 0.01pf
- **Dielectric Absorption** </= 0.01%

| Capacitor | Thermal Exchange in Degrees Celsius per Watt | Power Rating @ 20°C Rise above an ambient of 25°C |
|----------------------------------|--|---|
| Thin Film Alumina Substrate 1210 | 59° C/Watt | .34 Watts |
| Thin Film Alumina Substrate 0805 | 83.3° C/Watt | .24 Watts |
| Thin Film Alumina Substrate 0603 | 106° C/Watt | .186 Watts |
| Thin Film Alumina Substrate 0402 | 158° C/Watt | .127 Watts |
| Procelain 0505 | 126° C/Watt | .158 Watts |
| Procelain 1111 | 67.7° C/Watt | .295 Watts |
| Ceramic 1210 | 70.9° C/Watt | .282 Watts |
| Ceramic 0805 | 113° C/Watt | .177 Watts |
| Ceramic 0603 | 145° C/Watt | .139 Watts |
| Ceramic 0402 | 219° C/Watt | .091 Watts |

Thin Film Capacitor Reliability

Product: Thin Film 0402 Capacitor Reliability example (snap shot in time)

Test Conditions: 2xRated Voltage, 125°C, 1000 hours

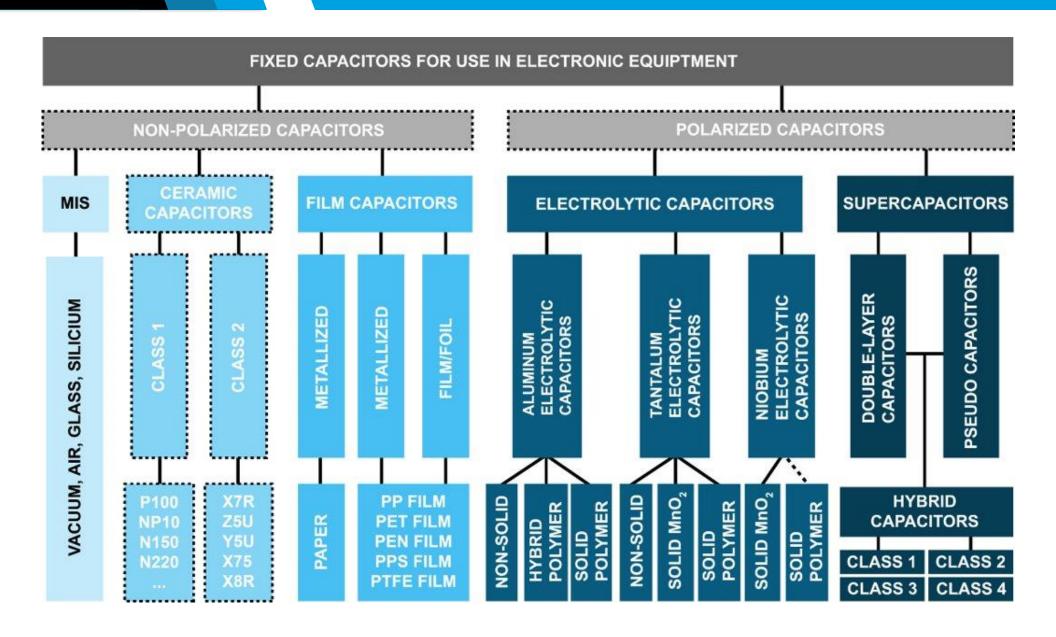
Typical User Conditions: 0.5xRV, 50°C

| PRODUCT | LOTS TESTED | PIECES TESTED | DEVICE HOURS | EQUIVALENT DEVICE HRS | FAILURE RATE (λ%) | FAILURE RATE (FIT) | MTBF HOURS |
|-------------|----------------|------------------|-----------------|--------------------------|-----------------------|--------------------------|-----------------------|
| 0402 Accu-P | 2272 | 45440 | 4.54E+07 | 2.91E+12 | 2.60x10 ⁻⁶ | 2.60x10 ⁻² | 3.85x10 ¹⁰ |

NOTES:

- 1. FAILURE RATE ($\lambda\%$) IS CALCULATED IN PERCENT PER 1000 HOURS AT 95% CONFIDENCE LEVEL
- 2. 1 FIT = 1 FAILURE IN 109 HOURS AT 95% CONFIDENCE LEVEL

Recap



SuperCapacitors



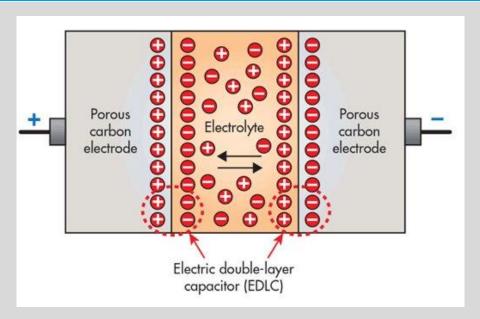
SuperCapacitors

Electrochemical Double Layer Capacitor

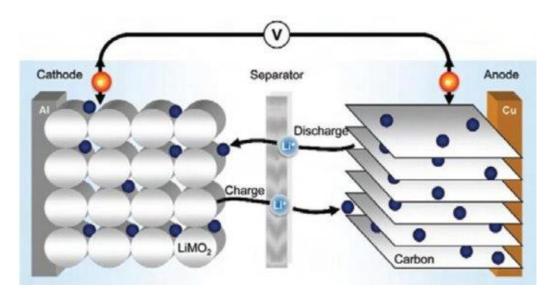
- High Capacitance / Low Voltage Cap
- Bridges electrolytic and rechargeable battery spectrum
- ~100x more energy per unit mass/volume of Al Electrolytic
- Fast Charge/Discharge
- Can make into modules



SuperCaps vs. Battery



| Characteristic Comparisons | | | | | | |
|----------------------------|----------------|----------------|--|--|--|--|
| Characteristic | SuperCap | Li-ion Battery | | | | |
| Charge Time | 1 - 10 secs | 10 - 60 mins | | | | |
| Cycle Life | 1 million | 500+ | | | | |
| Cell Voltage | 2.1V - 3.3V | 3.6V - 4.2V | | | | |
| Specific Energy | 5 | 100 - 200 | | | | |
| Specific Power | ~10,000 | 1,000 - 3,000 | | | | |
| Cost per Wh | > \$10 | \$0.50 - \$1 | | | | |
| Service Life in Automobile | 10+ years | 5 - 10 years | | | | |
| Charge Temperature | -55°C to +90°C | 0°C to +45°C | | | | |
| Discharge Temperature | -55°C to +90°C | -20°C to +60°C | | | | |

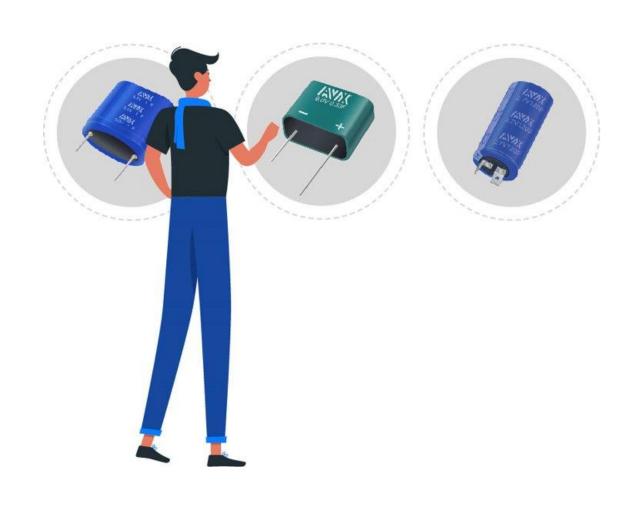


| Direct Comparisons | | | | |
|------------------------------|---------------------------------|--|--|--|
| SuperCaps | | | | |
| Pros | Cons | | | |
| Long life cycle | Low specific energy | | | |
| High load currents | Linear discharge voltage | | | |
| Fast charging times | Slightly higher self-discharge | | | |
| Good temperature performance | High cost per Wh | | | |
| Batteries Batteries | | | | |
| Pros | Cons | | | |
| Power density | Limited life cycle | | | |
| Storage capability | Voltage and current limitations | | | |
| Better leakage current | Long charging times | | | |
| Constant voltage | Very temperature sensitive | | | |

Selecting Which SuperCap Technology

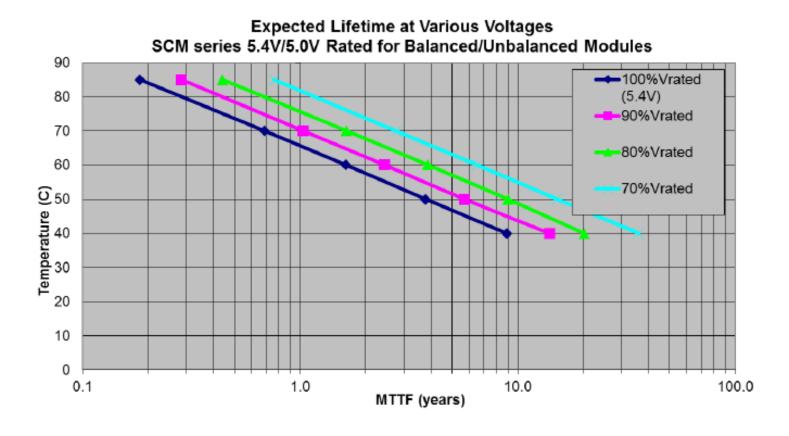
Key Things to Know Before Selection:

- Identifying the operating temperature range is most critical
- Understanding the application of either Energy Harvesting, Pulse Power, Power Hold-Up, or Battery Replacement
- Importance of Equivalent Series
 Resistance (ESR) and Leakage Current
 (LC) on the design
- Operating voltage of the application
- Expected lifetime

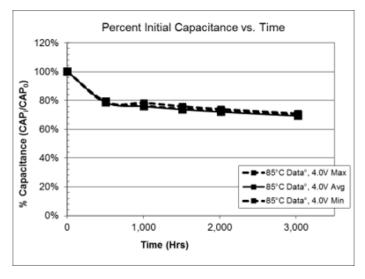


Understanding Life-Time

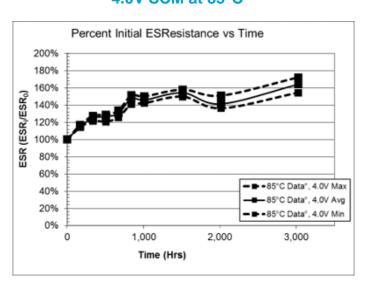
- Life-time is a function of voltage and temperature
- From internal testing and "rule of thumb," we know that life-time doubles for every 10°C lower operating temperature, and again doubles for every 0.1V lower operating voltage.

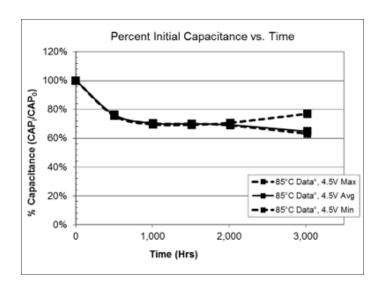


Life-Time Test Data

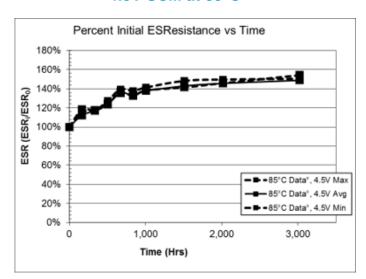


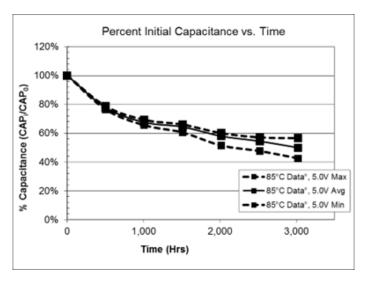
4.0V SCM at 85°C



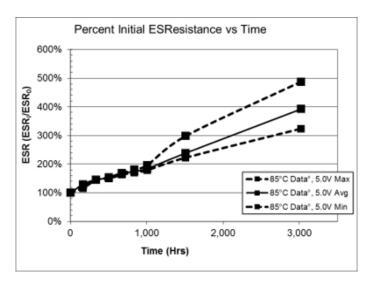


4.5V SCM at 85°C





5.0V SCM at 85°C



Custom SuperCap Modules

Modules of 48V 165F & 16V 500F are common today due to active balancing IC chipsets for series parallel capacitor connection. Larger modules easily possible.





Summary

